Adaptable Silicon—Carbon Nanocables Sandwiched between Reduced Graphene Oxide Sheets as Lithium Ion Battery Anodes

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ABSTRACT Silicon has been touted as one of the most promising anode materials for next generation lithium ion batteries. Yet, how to build energetic silicon-based electrode architectures by addressing the structural and interfacial stability issues facing silicon anodes still remains a big challenge. Here, we develop a novel kind of self-supporting binder-free silicon-based anodes via the encapsulation of silicon nanowires (SiNWs) with dual adaptable apparels (overlapped graphene (G) sheaths and reduced graphene oxide (RGO) overcoats). In the resulted architecture (namely, SiNW@G@RGO), the overlapped graphene sheets, as adaptable but sealed sheaths, prevent the direct exposure of encapsulated silicon to the electrolyte and enable the structural and interfacial stabilization of silicon nanowires. Meanwhile, the flexible and conductive RGO overcoats accommodate the volume change of embedded SiNW@G nanocables and thus maintain the structural and electrical integrity of the SiNW@G@RGO. As a result, the SiNW@G@RGO electrodes exhibit high reversible specific capacity of 1600 mAh g\(^{-1}\) at 2.1 A g\(^{-1}\), 80% capacity retention after 100 cycles, and superior rate capability (500 mAh g\(^{-1}\) at 8.4 A g\(^{-1}\)) on the basis of the total electrode weight.

KEYWORDS: silicon nanowire, graphene, adapting, lithium ion battery

The development of rechargeable lithium-ion batteries (LIBs) with high energy density, high-rate capability, and excellent cycling performance is critically important for automotive and stationary energy storage applications such as electric vehicles, power tools, multifunctional electronic devices, and communication equipments, as well as renewable energy integration.\(^1\)\(^-\)\(^2\) From the viewpoint of electrode materials, silicon (Si) is one of the most promising candidates as an anode material for LIBs due to its abundance in nature, appropriately low working potential, and highest known theoretical specific capacity of \(\sim 4200\) mAh g\(^{-1}\) which is more than 10 times higher in comparison to that of commercialized graphite anodes.\(^3\)\(^-\)\(^5\) However, silicon experiences a dramatic volume change (\(>300\%\)) during the lithiation and delithiation processes,\(^6\) leading to not only severe pulverization and subsequent electrical disconnection from the current collector, but also continual formation of so-called solid electrolyte interphase (SEI) on the silicon surfaces newly exposed to the electrolyte. Both effects result in the performance degradation of silicon, producing two impending challenges for the development of Si-based anodes, namely, the stabilization of Si structures and the engineering of their interfaces with electrolyte.\(^5\) In this context, remarkable improvements in the electrochemical performance of silicon have been achieved through diverse strategies specifically for engineering active material, current collector, binder and/or their interface.\(^7\)\(^-\)\(^24\) While the nanoscale structural modulation \(^15\)\(^-\)\(^19\) of Si can improve its mechanical integrity during charge/discharge cycling, the shielding of nanostructured Si with a second phase is further considered one of the most promising tactics for simultaneously dealing with both challenges. To achieve this...
shielding, a wide variety of silicon nanostructures confined with organic/inorganic substances as the mechanically rigid and spatially sealed shells/sheaths have been investigated such as amorphous carbon-coated Si nanowires\textsuperscript{10}/nanotubes\textsuperscript{11,12}/particles,\textsuperscript{8,13,14} amorphous carbon shell-sheltered Si yolks,\textsuperscript{15} thick nitrogen-doped graphitic layer-sheathed Si nanowires,\textsuperscript{16} poly(3,4-ethylenedioxythiophene) (PEDOT)-coated Si nanowires,\textsuperscript{17} electrospun carbon nanofiber-protected Si nanoparticles,\textsuperscript{18,19} double-shell Si@SiO\textsubscript{2}@C composites,\textsuperscript{20,21} SiO\textsubscript{2}-covered Si nanotubes,\textsuperscript{5} and Al\textsubscript{2}O\textsubscript{3}-coated Cu–Si nanocable arrays.\textsuperscript{22} Given the absence of void space inside these rigid and sealed shells/sheaths, however, these shells/sheaths are prone to disintegrate due to the huge volume expansion of interior silicon,\textsuperscript{15,19} which can lead to unstable electrode structures and reincur the volume change-related problems. As an alternative, graphene\textsuperscript{23} and/or its derivatives (e.g., reduced graphene oxide\textsuperscript{26}) are employed as robust and elastic substances to encapsulate silicon nanoparticles, as well as show potential for advancing Si-based anodes.\textsuperscript{27,28} Yet, the simple combination of Si nanoparticles with graphene sheets indeed constructs open channels for the direct contact of silicon with the electrolyte, which can degrade the cycling performance.

Consistent with a recent commentary\textsuperscript{29} highlighting the importance of engineering the interactions between individual components of a system, here, we develop a novel kind of adaptable self-supporting binder-free silicon-based anodes. As shown in Figure 1, silicon nanowires (SiNWs), as a representative model, are sheathed with overlapped graphene (G) sheets to form core–sheath nanocables (SiNW@G) (step I), and are in turn sandwiched between reduced graphene oxide (RGO) sheets (step II), thus forming RGO-sandwiched SiNW@G nanocables (SiNW@G@RGO). Within this architecture, the overlapped G sheets act as adaptable but sealed sheaths to synergistically transform with the volume change of embedded SiNWs. This prevents the direct contact of silicon with the electrolyte, avoids the pore formation in silicon, and thus secures the integrity of SiNWs during repeated cycling. Moreover, the RGO overcoats, as a mechanically robust and flexible matrix, accommodate the volume change of embedded SiNW@G nanocables, thus maintaining the structural and electrical integrity of the electrode. That is to say, in a zoom-in view the thus-constructed SiNW@G@RGO is adaptable and can freely switch between a contracted state and an expanded state upon lithiation and delithiation (Figure 1). To the best of our knowledge, this is the first demonstration of a self-supporting binder-free Si–C anode prototype with silicon secured by dual adaptable apparels (sealed and open), which is significantly distinct from previously reported Si nanostructures encapsulated with either rigid/sealed or elastic/open coatings. Furthermore, this unique encapsulation of silicon has endowed the SiNW@G@RGO with much improved lithium storage performance when compared to their counterparts.

**RESULTS AND DISCUSSION**

Briefly, the fabrication of SiNW@G@RGO is realized via vapor–liquid–solid (VLS) synthesis of SiNWs, chemical vapor deposition (CVD) growth of graphene on the
SiNWs to form SiNW@G nanocables, and vacuum filtration of an aqueous SiNW@G-graphene oxide (GO) dispersion followed by thermal reduction (see Methods for details). The structure and morphology of thus-synthesized SiNW@G nanocables were first characterized using scanning electron microscopy (SEM), transmission electron microscopy (TEM), and Raman spectroscopy (Figure 2 and Supporting Information, Figure S2). Different from the originally synthesized crystalline SiNWs with smooth surfaces (Supporting Information, Figure S1), the SiNW@G nanocables become quite textured (inset of Figure 2a) and show scale-like structures (Figure 2b), implying the successful coating of G sheaths on SiNWs. As turbostatic carbon may exist sparsely in the G sheaths, the high resolution TEM images further show that these G sheaths mainly consist of irregularly overlapped graphene sheets (Figure 2c) and void vesicle-like graphene sheets (Figure 2d), both of which are composed of few-layer graphene (typically, 5–8 layers) as verified by Raman results (Supporting Information, Figure S2). It should be mentioned that, in some areas graphene sheets are observed to lie in a regular manner on the surface of SiNWs, which facilitates the identification of the crystalline structure of SiNWs by selected area electron diffraction (SAED) (Figure 2e). Whereas these introduced graphene sheets bear different modalities and undulating features, the carbon and silicon elemental mapping (Figure 2f) displays a highly homogeneous distribution of carbon on silicon, highlighting the uniform coating of G sheaths on SiNW cores. Furthermore, as shown in Figure 2g, the SiNW@G nanocables show an average diameter of about 65 nm, which is slightly larger than that for the pristine SiNWs (ca. 50 nm), attributable to the contribution of G sheaths (about 7.5 nm in thickness). Upon the combination of SiNW@G nanocables with GO (Supporting Information, Figure S3) followed by thermal reduction, the resulting paper-like SiNW@G@RGO films are self-supporting, flexible, and remain integral when being bent (Figure 3a). The cross-section SEM image of the film (Figure 3b) reveals that well-distributed SiNW@G nanocables are homogenously sandwiched in between RGO sheets, forming a three-dimensional porous structure, which resembles the combination of one-dimensional carbon nanotubes and two-dimensional graphene. The higher magnification cross-section and top-surface SEM images further manifest the intimate contact between the SiNW@G nanocables and adjacent RGO overcoats (inset of Figure 3b and Figure 3c). In addition, energy dispersive X-ray (EDX) analyses invariably disclose that the silicon content is about 60 wt % for many defined regions as exemplified by a white rectangle in Figure 3d, which is in good agreement with the weighting results (see Methods for details) and further indicates the uniform distribution of SiNW@G nanocables in the RGO matrix.

Figure 2. The structure and morphology of SiNW@G nanocables: (a) SEM image with an enlarged view in the inset; (b) TEM image with lower magnification view in the inset; (c – e) high resolution TEM images showing (c) overlapped, (d) vesicle-like, and (e) regular graphene sheets on the SiNW cores. The inset of panel e shows SAED pattern highlighting the crystalline structure of SiNW cores showing a [112] growth orientation. (f) Scanning transmission electron microscopy (STEM) and carbon and silicon elemental mapping images of individual SiNW@G nanocable; (g) diameter distribution of SiNW@G nanocables.
To disclose that the as-synthesized SiNW@G@RGO material experiences adapting processes upon lithiation and delithiation (discharge/charge), we prepared battery half cells in which the SiNW@G@RGO was directly used as the working electrodes and paired with lithium foil counter electrodes. These SiNW@G@RGO electrodes were discharged and charged at a constant current of 210 mA g
⁻¹ and extracted for observation with TEM (Figure 4). Hereof, most of SiNW@G nanocables were detached from RGO overcoats during the TEM sample preparation, which nevertheless facilitated the characterization of the structural and morphological changes of SiNW@G nanocables after lithiation and/or delithiation. Apparently, the silicon nanowire cores remain mostly amorphous in both lithiated and delithiated states, in accord
with those observed for crystalline Si after experiencing the first discharge, during which the Si reacts with lithium ions to form amorphous Li$_x$Si.\textsuperscript{3,31} More importantly, corrugated graphene sheets comprising the G sheath are observed to remain on the amorphous nanowire surface, but the sheath becomes relatively smooth and flat after lithiation (Figure 4a,b), which in turn changes back to their originally rough and angular state after delithiation (Figure 4c,d). A statistical analysis shows that the average diameter of SiNW@G nanocables increases from 65 to 105 nm after lithiation (Figure 4e vs Figure 2g), which corresponds to approximately 260% volume expansion of the nanocable as well as more than 320% as for the silicon nanowire core alone, given that both the nanowire length and the sheath thickness are constant. Interestingly, the average diameter of SiNW@G nanocables returns back to their original value (ca. 65 nm) after delithiation although a slightly broader distribution profile of their diameters is observed in comparison with that of the pristine SiNW@G nanocables (Figure 4e vs Figure 2g). The above results clearly indicate that the G sheaths closely cooperate with interior SiNW cores and transform freely between an expanded state and a contracted state during cycling (Figure 1), indicating their adaptable essence. Similar to the confining effect of other mechanically rigid shells/sheaths on the silicon material, notably, the adaptable G sheaths also make the encapsulated silicon nanowires appear continuous and uniform even after many cycles (Figure 4c and Supporting Information, Figure S4), and remain intact. In contrast, a highly porous, sponge-like structure is generally observed after cycling for those SiNWs either uncovered\textsuperscript{32} or sandwiched directly by RGO (Supporting Information, Figure S5). Taking into account the reported mechanisms\textsuperscript{1,31–37} leading to the fracture and pore formation in silicon, the above results suggest two interesting points. First, the overlapped graphene sheets are loosely attached onto the SiNW surfaces possibly via a relatively weak interaction similar to those reported,\textsuperscript{38} because a tight bonding (e.g., covalent) between them can induce a strong confinement for expanded silicon and necessarily result in the fracture of silicon during lithiation.\textsuperscript{34–36} Second, during repeated cycling the overlapped graphene sheets function as nearly sealed sheaths to prevent the direct exposure of silicon to the electrolyte and effectively alleviate SEI formation and propagation on silicon since the SEI formation can lead to the pore formation and propagation in silicon.\textsuperscript{34} It is plausible that the G sheaths cannot track the huge circumferential change (about 60%) even though graphene is known to sustain a maximum strain of 10% without plastic deformations.\textsuperscript{39} The adaptability of SiNW@G nanocables may originate from the initial sliding between overlapped graphene sheets during the first lithiation process as well as the subsequent contracting/expanding deformation of the thus-expanded G sheaths during cycling. Furthermore, it should be noted that the RGO overcoats in the SiNW@G@RGO function as a flexible and adaptable matrix\textsuperscript{26–28} to accommodate the contracting/expanding deformation of the sandwiched SiNW@G nanocables, which actually play a critically important role in guaranteeing the structural and electrical integrity of the electrodes upon cycling as demonstrated later.

Cyclic voltammetry (CV) was performed to further characterize the charge/discharge process of the SiNW@G@RGO in the voltage range of 2.0 V–0.002 V (versus Li/Li$^+$) at a sweep rate of 0.1 mV s$^{-1}$ (Figure 4f). Resembling those reported for Si–C electrodes,\textsuperscript{40} it is clear that the CV curve of the first cycle is quite different from those of subsequent cycles, especially for the discharge branch. In the first cycle the discharge current suddenly increases at a potential of around 0.7 V and becomes quite large below 0.3 V, which is usually ascribed to the occurrence of side reactions on the electrode surfaces and interfaces and to SEI formation,\textsuperscript{41–43} as well as the lithiation of crystalline Si to form amorphous Li$_x$Si.\textsuperscript{44} In comparison, the distinct peaks appear at 0.2 V during discharge and at 0.35 and 0.5 V during charge from the second cycle onward, exclusively representing the phase transformation between amorphous Si and Li$_x$Si, in accord with the above TEM observations and those previously reported in the literatures\textsuperscript{3,6,7} for Si electrodes. Hereof, it is noteworthy that a thin and stable SEI film, formed on the surfaces and interfaces of overlapped graphene sheets in the first cycle, can rather consolidate\textsuperscript{15} the role of G sheaths in preventing the direct contact of interior silicon nanowires with electrolyte and securing the structural integrity of interior silicon during subsequent charge–discharge cycles.

The dual encapsulation of silicon with adaptable capsules and consequent structural and interfacial stability of SiNW@G@RGO should afford excellent lithium storage performance even if without the addition of electrolyte additives\textsuperscript{7} and/or the control of cutoff voltages\textsuperscript{45} (Figure 5). Unless otherwise noted, the specific capacity values reported in Figure 5 are calculated on the basis of the total weight of SiNW@G@RGO, in which silicon comprises ~60% of the total mass. For comparison, the cycling performances of SiNW and SiNW@G electrodes (see Methods for their fabrication details) are exhibited in Figure 5a as well. It can be observed that the SiNW electrode shows very fast capacity fading and bears a negligible specific capacity value (ca. 50 mAh g$^{-1}$) at the 50th cycle. After covering SiNWs with G sheaths, the cycling performance is slightly improved with 47% capacity retention (270 mAh g$^{-1}$) at the 50th cycle, but still much poorer in comparison with those reported elsewhere.\textsuperscript{7} This can be mainly due to the use of other components in the SiNW@G electrode, such as PVDF.\textsuperscript{23}
which fail to accommodate the spacing change between the SiNW@G nanocables and rapidly become ineffective in maintaining the structural integrity and electrical conductivity of the electrode. In contrast, after introducing two adaptable apparels (G and RGO), the self-supporting SiNW@G@RGO electrode delivers a significantly high specific capacity of about 1650 mAh g\(^{-1}\) at 840 mA g\(^{-1}\), corresponding to a quite high specific capacity (2750 mAh g\(^{-1}\)) for silicon alone, which remains almost constant over the 50 cycles investigated. The long-term cycling stability of SiNW@G@RGO was further studied at 210 mA g\(^{-1}\) in the first two cycles and then at 2.1 A g\(^{-1}\) in the subsequent 100 cycles (Figure 5b). Surprisingly, the specific capacity can reach approximately 1600 mAh g\(^{-1}\) at the rate of 2.1 A g\(^{-1}\) and retains approximately 80% of its initial capacity after 100 cycles. The significantly high specific capacity and superior capacity retention achieved at such a large rate (2.1 A g\(^{-1}\)), on the basis of the total electrode weight, can be attributed to the unique architecture of the SiNW@G@RGO electrodes. It should be pointed out that the Coulombic efficiency of the first cycle is relatively low (~50%) in this study, which can be due to the consumption of lithium by the defects on G and RGO, as well as initial SEI formation. It is very possible to avoid this drawback by interfacial treatments, electrolyte modifications,\(^7\) and/or material prelithiation\(^{45}\) in future studies. From the third cycle onward the SiNW@G@RGO electrodes demonstrate Coulombic efficiencies of more than 98% (Figure 5b). The voltage profiles of different cycles are shown in Figure 5c. While the first charge–discharge cycle usually involves the SEI formation and the transformation of crystalline Si into amorphous Si as discussed above, the voltage profiles are very similar from the second cycle onward, which are in good agreement with the behavior of amorphous silicon, although both G sheaths and RGO overcoats contribute to them. No obvious change in both charge and discharge profiles is observed even after 100 cycles, which further indicates that the silicon nanowires with dual breathable adaptable apparels are extraordinarily stable during cycling. In addition, the dual encapsulation also endows the SiNW@G@RGO electrodes with superior rate capability (Figure 5d). Under fast (8.4 A g\(^{-1}\)) and deep (2 −0.002 V) discharge/charge cycling, a high charge (delithiation) capacity of ca. 500 mAh g\(^{-1}\) can be obtained on the basis of the total electrode weight;
Even after cycling at very high current rates (e.g., 12.6 A g\(^{-1}\)), the capacity is still recoverable as validated by the case at 420 mA g\(^{-1}\).

Both high reversible capacity and outstanding capacity retention of SiNW@G@RGO substantiate that such a dual encapsulation formula allows one to stabilize silicon-based anodes, and this may be attributed to the following aspects: first, the G sheaths of SiNW@G nanocables are highly adaptable, being capable of synchronous deformation on the heels of the dramatic volume change of SiNWs instead of being cracked during cycling. This effectively prevents the direct contact between silicon nanowires and electrolyte during cycling, thus alleviating the formation and propagation of the SEI layer and also inhibiting the pore formation in silicon. Second, the RGO overcoats are highly flexible, holding the intrinsic ability to accommodate the volume change of SiNW@G nanocables, thus maintaining the structural integrity of the electrode. Third, although being well dispersed in the RGO, silicon nanowires located between the same two RGO overcoats may be displaced and fused together\(^35,46\) during charge–discharge processes given the absence of G sheaths, thus impairing the nanostructure effect and causing capacity fading to occur. In contrast, the G sheaths here-introduced can isolate each silicon nanowire from others and guarantee each silicon nanowire counted to function separately and efficiently. Fourth, the G sheaths of SiNW@G nanocables, are interconnected through surrounding RGO overcoats, thus forming a quite effective and continuous conductive network. More importantly, the lateral contact between SiNW cores and their dual appurals (G and RGO) greatly shortens the electron transport distance from/to silicon, and is thus highly favorable for improving their rate capability, which is clearly different from the axial transport in the SiNWs deposited directly on metal current collectors.\(^2\) Fifth, the dimensional hybridization present in SiNW@G@RGO enables the formation of a three-dimensional porous network, thus significantly facilitating the diffusion and transport of lithium ions even at high current rates. Sixth, both G and RGO may contribute to the capacity of the electrode. Last, thanks to the flexible nature of G and RGO, the resulting SiNW@G@RGO material is robust and freestanding, thus avoiding the necessity of additional additives (e.g., traditional binders) that may degrade the performance of as-designed anode materials, for example by disturbing their structural integrity and continuity.

**CONCLUSION**

We have successfully fabricated a novel kind of self-supporting binder-free silicon-based anodes via the encapsulation of silicon nanowires with overlapped graphene sheets (G sheaths) and RGO overcoats. In the architecture, the overlapped graphene sheets, as adaptable but sealed sheaths, synergistically transform with the volume change of embedded silicon, thus effectively preventing the direct exposure of encapsulated silicon to the electrolyte and enabling the structural and interfacial stabilization of encapsulated silicon nanowires during cycling. Meanwhile, the flexible and conductive RGO overcoats accommodate the volume change of embedded SiNW@G nanocables, maintaining the structural and electrical integrity of the electrode. As a result, the electrodes exhibit outstanding lithium storage performance with high reversible specific capacity of 1600 mAh g\(^{-1}\) at 2.1 A g\(^{-1}\), 80% capacity retention after 100 cycles, and superior rate capability (500 mAh g\(^{-1}\) at 8.4 A g\(^{-1}\)) on the basis of the total electrode weight. While further improvement of these performance parameters may be possible on the basis of the modification and optimization of the components and interfaces of SiNW@G@RGO, the strategy to encapsulate silicon with dual (sealed/open) adaptable matrices demonstrated here opens up a new avenue for developing high-performance silicon-based anodes, and can be also extended to other fascinating anode and cathode materials systems that undergo large volume expansion.

**METHODS**

**Materials.** The procedure for making SiNW@G@RGO contains the synthesis of silicon nanowires (SiNWs), the sheathing of SiNWs with overlapped graphene sheets to form silicon nanowire/overlapped graphene sheet core—sheath nanocables (SiNW@G), and the sandwiching of SiNW@G nanocables with reduced graphene oxide (RGO). In detail, the SiNWs with an average diameter of about 50 nm and a typical length of more than 50 μm were first grown via a chemical vapor deposition (CVD) vapor–liquid–solid (VLS) growth method as reported elsewhere.\(^47\) Briefly, the SiNWs were grown by passing 300 standard cubic centimeters (sccm) of silane (SiH\(_4\)), 50% diluted with hydrogen) at a pressure of 3 Torr across a Si substrate containing Au catalyst. The typical deposition temperature and time was 500 °C and 30 min. The as-prepared SiNWs were immersed in gold etchant solution to remove Au catalyst particles, and then collected and placed in a quartz boat, which was heated to 1050 °C in a horizontal tube furnace under argon/hydrogen (Ar/H\(_2\); 2:1) atmosphere. Then, 50 sccm CH\(_4\) was introduced into the reaction tube and kept for 5 min. After that, the sample was rapidly cooled to room temperature under the protection of Ar and H\(_2\), thus obtaining SiNW@G nanocables. Finally, the SiNW@G nanocables were well dispersed in graphene oxide (GO) solution with a desirable concentration (typically, 0.1 mg/mL) which was made on the basis of the modified Hummers method.\(^48\) The homogeneous mixture obtained was vacuum filtered and peeled from the filter membrane to get the flexible paper-like composite cake, which was further annealed at 600 °C for 2 h under Ar, thus obtaining reduced graphene oxide (RGO)-sandwiched SiNW@G nanocables (namely, SiNW@G@RGO). The weight ratio of silicon in the SiNW@G@RGO material was determined by measuring the weight increment five consecutive times after each processing step using a high-precision electronic balance, as well as averaging the values from more than five samples. For some control
experiments, the originally synthesized SiNWs were also sandwiched directly with RGO film as the separator, (CR2032) in an argon-filled glovebox with lithium foil as the counter electrode, porous polypropylene film as the separator, and washed completely with NMP, dilute HCl, and ethanol for TEM characterization. A technique based on a washing process reported elsewhere was used for setting current densities and calculating specific capacities. Cyclic voltammetry was performed with a CH660D electrochemical station in the voltage range of 2.0–0.002 V (versus Li+/Li) at a sweep rate of 0.1 mV s⁻¹. In some cases the cells were fully charged and/or discharged, and then disassembled and washed completely with NMP, dilute HCl, and ethanol for TEM analysis, a technique based on a washing process reported elsewhere. Supporting Information Available: Figure S1 as described in the text. This material is available free of charge via the Internet at http://pubs.acs.org.

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REFERENCES AND NOTES


