# Summary Descriptions of Selected Projects Being Investigated

(Sungho Jin and collaborators)

Solar Energy Related Technologies R&D (2010 – now) --- Innovative approaches to solve some major problems

- 1. Low cost Si slicing for photovoltaic cells [Drastically reduced kerf loss]
- 2. Thermoelectric materials [Easy scale-up manufacturing of nano-grained TE materials.]
- 3. Wear-resistant, self-cleaning, superhydrophobic coatings [Ceramic based, teflon-free, inexpensive and durable super-omniphobic coatings on glass, plastic and other surfaces]
- 4. Concentrating solar power (CSP) system, solar-thermal generator [Spectrally selective, sunlight-absorbing coatings]
- 5. Dye sensitized solar cells (DSSC) and perovskite sensitized solar cells (PSSC) [FTO glass free, transparent and high-electrical-conductivity electrodes]
- 6. Compliant thermal interface material (TIM) [To provide mechanical stress accommodation and high thermal conductivity simultaneously]
- 7. 7. Ultra-high-density vertical solar cell array [DUV processed or nanoimprinted Si]
- 8. Sunlight reflective coatings [To keep buildings and automobiles cooler in the summer and warmer in the winter]
- 9. Universal solders [For easy integration of solar cells involving difficult-tobond surfaces like Ag-free metallic electrode surface]

# Si Nano/Micro-Shaping for Reduced Cost Photovoltaic Solar Cells

Massively parallel, Si chemical slicing for low cost, low-loss thin wafers

--- Current PV solar cells are too expensive for wide applications as renewable energy source.

--- One of the major cost factors is the Si material, which amounts to almost one-half of the single crystal solar cell cost.

--- Typical wire-saw slicing of Si wafer results in  ${\sim}200~\mu m$  loss of Si per cut for  ${\sim}200~\mu m$  thick wafer slicing.

--- We have developed a new slicing technique that reduces the cut loss to as little as ~10  $\mu$ m, which also allows a fabrication of very thin Si wafers (~5-30  $\mu$ m thick) for additional reduction in Si materials usage in PV solar cells.

--- This novel technique can also be utilized for shaping of Si into tall nano-micro wires, zigzag nanowire arrays, nano-tunnels, very thin flexible wafers for various applications such as energy, photonics, electronics, biomedical devices as well as flexible circuits.



Schematics of the magnetically direction-guided, catalytic silicon slicing process. (a) Photoresist line pattern on Si ingot surface using photolithography, (b) Magnetic catalyst layer deposition (5-20  $\mu$ m wide and 5-20  $\mu$ m spaced apart, up to 20,000 parallel etch lines over 20 cm length Si ingot) for massively parallel Si wafer slicing, (c) Magnetic guided electroless etching into Si depth, (d) photoresist lift-off and remaining Au catalyst removal to obtain thin microsheets of sliced Si.



Thin sliced Si ribbons, pillars, bent nanowires by catalytic shaping

#### **Thermoelectric Materials**

--- The thermoelectric figure of merit (ZT) can be expressed as  $\mathbf{ZT} = (\mathbf{S}^2 \boldsymbol{\sigma} / \mathbf{k}) \mathbf{T}$  (where **S** is the Seebeck Coefficient,  $\boldsymbol{\sigma}$  is the electrical conductivity, and **k** is the thermal conductivity. For higher ZT, these materials parameters need to be optimized.

--- Thermoelectric (TE) alloys such as Bi-Sb-Te and skutterudites are promising energy materials for waste heat recovery and solar energy generation. For enhanced TE properties such as the energy conversion efficiency, it is essential to increase the phonon scattering and reduce the thermal conductivity. Various nanoparticle synthesis techniques based on physical, chemical or mechanical approaches are utilized to produce variety of nanoparticles of thermoelectric alloys and sintered nanograined alloys having excellent thermoelectric properties. Further nanostructure controls are being investigated to increase the phonon scattering, Seebeck Coefficient, and electrical conductivity of various thermoelectric materials.

> (a) SEM Image of Bi-Sb-Te thermoelectric allot nanoparticles with ~30nm dia., (b) TEM of spark plasma sintered (SPS) Bi-Sb-Te thermoelectric alloy with desirable nanograin structure for enhanced phonon scattering.





(a) Seebeck coefficient, (b) Lattice thermal conductivity and (c) ZT, vs measurement temp of Bi-Sb-Te thermoelectric alloy after spark plasma sintering.

# **Self-cleaning glass surface**

--- Nanostructured glass or silica surfaces having superhydrophobic and omniphobic properties have been developed for maintenance-free solar panel surface (with minimal needs for washing/cleaning during solar cell use lifetime).

--- Wear resistant, superhydrophobic glass surface made of transparent ceramic nanostructure, rather than easily smearable polymer coatings. Such coatings are needed for many industrial and consumer market applications.

--- For anti-fingerprint surface (on cell phones or touch-sensitive screens), both superhydrophobic + superoleophobic properties are needed.

--- Also, for "maintenance-free, antir-eflective PV cell array and clean high-rise-building window glasses.

--- Such transparent ceramic coatings have been developed (past 5 yrs R&D effort), and scale-up manufacturability is being considered in the nanostructure design and processing.



--- Schematic illustration of contact angles for hydrophilic, hydrophobic, and superhydrophobic surfaces. A similar definition of oleophilic, oleophobic and superoleophobic, also applies for oil wetting instead of water wetting. --- Super-omniphobic ceramic surface (having both superhydrophobic and superoleophobic) has been demonstrated.







# Concentrating Solar Power --- High Performance Nanostructured Spectrally Selective Coating

- Concentrating solar power (CSP) --- A viable, commercialized technology that competes effectively with the photovoltaic solar energy. This Carnot cycle based energy conversion is based on focusing sunlight onto the Spectrally Selective Coating (SSC) on a steel pipe that contains a molten salt heated by the absorbed thermal energy. The molten salt is sent to the power plant where steam is generated to operate steam turbines and generate electricity. The solar thermal Carnot cycle efficiency is high, ~40% at the current operating temperature of ~450°C. the goal of this DOEfunded project is to further increase the efficiency toward ~60% regime by developing new, more efficient, sunlight absorbing SSC layer that will enable a 700-750°C CSP operation. These efficiency values are much higher than the typical photovoltaic energy conversion efficiency (~25%).
- Spectrally selective coating (SSC) --- A critical component that enables high-temperature and high-efficiency operation of concentrated solar power (CSP) systems. SSC has a profound impact on the performance and cost of CSP systems. The optical properties of the SSC, namely, absorption in the solar spectrum range (UV/Vis) is maximized by materials design as we pursue a bandgap-adjusted, nanoparticle semiconductor materials while the reflectance, while the undesirable black body emission loss in IR (infrared) regime is minimized.
- For higher temperature operation to achieve higher Carnot efficiency, the semiconductor material nanoparticles need to be protected from oxidation, e.g., with the synthesis of a variety of nano core-shell structures. configuration surface layer as investigated in this project.









### **Rare-Earth-Free Permanent Magnet Alloys**

--- The high price of rare earth metals, especially Dy utilized in Nd-Fe-B magnets has instigated active R&D toward new, rare-earth-free permanent magnet materials.

--- We employ a spark erosion technique to easily produce nanoparticles of Mn-Bi magnet alloys so that the high magnetocrystalline anisotropy of the material is fully utilized with minimal domain wall motion. Soft-magnet / hard-magnet exchange coupled spring magnets with higher coercive force are also being developed.



Spark erosion principle for nanoparticle synthesis.



## Smell-O-Vision Devices Using X-Y Matrix Controlled Odor Release

--- Virtual reality can be made more realistic with a three-dimensional or other sensory input. Out of the five senses humans have (i.e., vision, sound, smell, taste and touching), we have already incorporated the first two senses in modern communications and entertainment systems such as TVs, mobile phones, computers, and movies.

--- To enhance the quality of entertainment and communications, it would be nice to incorporate another sense, a sense of smell. Synchronization of odor release to the corresponding image on the screen can be accomplished conveniently by electronic signals using a reliable, inexpensive, and not cumbersome device.

--- Odor releasing devices that allow easy on-off switching of odor flux could have a significant impact on the effectiveness of virtual reality. We have developed a fast, repeatable new odor/gas releasing system having a novel X–Y matrix addressable

capability. The device lasts for a long time as the scent release on-off takes only millisecond and the battery use is minimal.

--- Odor generating system with improved kinetics and reliability, test controllability with a system embedded in TV, and demonstrate programmable odor release in a synchronized manner together with the visual images on screen are being investigated.



Odor releasing TV (a) Jennifer Lopez TV scene with synchronized release of Jennifer Lopez perfume ("Live by Jennifer Lopez") smell. (b) Diagram of communication for the operation of hardware.



Laboratory demo of X-Y matrix odor release device

Four-scent release demo video (for Computer Monitor, TV or Cell Phone) --- Coffee, pizza, perfume, and freshcut grass, featuring Dr. Calvin Gardner



#### Wearable headset for Virtual Reality (VR) or Augmented Reality (AR)

--- Add various selective, ondemand scents to headset and other computer or communication devices



Headset Prototype Demo (VR Images + 6 Scents release) video



#### Potential Applications of Scent Release Devices

---Technology has been demonstrated and is ready for commercialization.

#### Communications/entertainments/VR-AR

- --- Virtual Reality/Augmented Reality, Computer Monitors, Smell-o-Vision TVs (+ commercials, movies, perfume stores), games.
- --- Odor release cell phones.
- --- Advertizing products, smell-able food display, home and personal items.
- --- Cars/autonomous cars, airplanes, homes, businesses, etc.
- --- On-Line-Shopping devices for many personal computers in the world.

#### **Medical applications**

--- Combinatorial synthesis /discovery of vapor-based new drugs.

--- Release of medical vapors or nano-mists in arrays or in mixtures. This can allow to overcome the Blood-Brain-Barrier problem to enable delivery of Alzheimer's Disease drug or Parkinson's Disease drug to brain cells.

#### Security devices (for homeland security, military, civilian)

- (a) Remote release of neutralizing/decontaminant gas in the event of terrorist attack with toxic gas (e.g., in subway station)
- (b) Capturing of enemy solders or intruders by releasing sedative/anesthesia gases or laughing gas (e.g., nitrous oxide).
- (c) Wearable gas release on soldiers uniform.
- (d) Remotely controllable release of pungent foul smell like skunk smell to chase away intruders.

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#### Graphene Processing and Properties

- Graphene is a very exciting new material with many potential applications.
   For semiconductor use with graphene's high carrier mobility and other unique properties, the band-gap has to be opened.
- New Anodized Aluminum Oxide (AAO) template with smaller 40-50 nm dia pores, 200-300nm thickness developed.

Such AAO templates were utilized to pattern graphene layer (CVD grown on Cu substrate followed by removal of Cu). Honeycomb-geometry graphene was obtained so as to produce enhanced edge effect and bandgap opening. Magnetic nano-island arrays are also being fabricated for enhanced magneto-transport properties.

 Electronic and magnetic properties of nano-modified graphene layers are being evaluated.





Sheet resistance ( $R_s$ ) increase caused by nano-patterning of graphene (green arrows) using AAO template etch mask, and near-complete recovery of the electrical conductivity by HNO<sub>3</sub> chemical doping (red arrows), especially for the 40 sec etched graphene sample. The  $R_s$  values are plotted for three different etching times (30, 40 and 50 sec).



by nano-patterning.

#### -----

### Carbon Nanotube Geometry Control

- Sharply pointed carbon nanotubes can have even smaller tip diameter because of elimination of catalyst particle radius of curvature. Such sharp tips are advantageous for enhanced field emission, high-resolution metrology, bio-insertion of molecules and functionalities, etc.
- While straight carbon nanotubes are relatively easy to grow, curved or bent nanotubes are difficult to synthesize --- For technical applications, sharply bent or zig-zag carbon nanotubes are important for nano spring applications, sidewall tracing scanning probes, routing of nanoelectronics interconnects, and possible introduction of defects to form hetero-junction nanotube semiconductor devices.



E vector modeling done using Maxwell SV

Periodic and Aligned Carbon Nanotube Array by Electric-Field-Guided CVD Growth



90 Degree Bent Nanotubes



### CVD growth chamber for bent or zig-zag nanotube growth



--- Joseph F. AuBuchon, Li-Han Chen, Andrew I. Gapin, Dong-Wook Kim, Chaira Daraio, and Sungho Jin, Nano Lett. 4, 1781 (2004).

--- Joseph F. AuBuchon, Li-Han Chen, and Sungho Jin, J. Phys. Chem. B109, 6044-6048 (2005).

# **Nanoelectronics**

# **Carbon nanotubes for nanoelectronics --- Electrical switching** behavior and logic in CNT Y-Junction transistors P. R. Bandaru, C. Daraio, S. Jin and A. M. Rao, *Nature Materials 4*, 663 (2005). Sharp transistor switching behavior enbled by 3<sup>rd</sup> branch as a gate.

- Natural CNT gate --- No external gate fabrication necessary. •
- Three-way gating operation demonstrated.



# Nanoprobe Design and Fabrication

- For creation of extremely fine AFM probe tips which have desirable high-resolution and mechanical durability.
- •
- For field emission, nano lithography applications, etc. Nanoscale conductance probes for biological ionic conductivity measurements near ion • channels (e.g., study of Al Zheimer's disease).
- For bio engineering modification of cells with nano-needles or nano-pipettes (by • insertion of genes, growth factors, drug molecules, etc.) for cell behavior study and therapeutic applications.

### Carbon Nano Cone AFM Probe on Si Cantilever

On AFM cantilever (By patterning of a single Ni island by lithography + Electric field guided chemical vapor deposition of carbon nanocones

#### AFM Tips Being Made by Jin Group

- --- Using Sharp Carbon Nanocones.
- --- Tip radius of curvature ~ 1 nm regime.
- --- Electrically conductive (for bio imaging or conductance imaging).
- --- Mechanically durable.
- --- High aspect ratio for deep trench or via hole imaging.

#### Carbon nanocone tip on AFM probe





~1 nm diameter tip (by TEM)

#### Carbon nanocone AFM probe deposited on pedestal cantilever





#### **Deep Trench Imaging Capability** --- 500 nm deep PMMA resist pattern on Si substrate, with a 300 nm line/space pattern

High resolution AFM image of Cu film by carbon nanocone probe



Standard Si AFM Tip (erroneous image)

**Carbon Nanocone Tip** (more accurate image)











## **Focused Ion Beam Modification of Nanostructures**

--- CNT with subtractive line defects + four-point lead wires for electronic transport measurements.



Si nanophotonics --- For future ultra-high-density Semiconductor circuits

• Focused-ion-beam carved to introduce delay lines for light propagation and to locally slow down light movement through Si waveguide --- by fabricating smaller, dimensionally optimized paths.



# Nanofabrication of 10-15 nm features

E-beam patterned HSQ resist island array with 1.6 TB/in<sup>2</sup> density on Si



# **Magnetic Nanostructure and Patterned Recording Media**

- There is a need to substantially increase the density of magnetic recording media.
- Patterned media with periodic array of ~10-20 nm regime magnetic nanoislands or nanowire magnets are highly desirable.
- 0-20 nm nanomagnet dimension is well below the available lithography limit.
- New, innovative synthesis/fabrication approaches are desirable.

<u>Upper Limit</u>	Reco	ording	g Den	<u>sity v</u>	<u>s Bit S</u>	ize			
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Bit Size (nm) (Period nm)	2	3	5 (10)	10	12.5	15 (30)	20 (40)	CoPt nonomognots	2
(Period, nm) Recording Der (TB/in <sup>2</sup> )	(4) nsity 42	(6) 18	(10) 6.5	(20) 1.6	(25)	(30) 0.7	(40) 0.4	(aluminum oxide matrix dissolved away to show the nanowires)	100 nm

# Advanced Patterned Recording Media by Nanofabrication



- One bit per each 'island' approach -- To go beyond the current hard disk memory limit of ~200 GB/in<sup>2</sup> memory.
- Areal memory densities of more than <u>1 TB/in<sup>2</sup></u> desired.
- Difficulty in 10 nm regime bit fabrications, large area, in circular periodic pattern --- very challenging.
- For eventual manufacturing for large-area patterning, Nano-imprint Lithography is the most viable technology.

# **Energy-Related Materials**

Electrochemical Modification of Vertically Aligned Carbon Nanotube Arrays

--- The presence of metal particles (e.g., Ni) may interfere with the intended chemical or electrochemical reactions. --- Tip-Opening (oxidation) + electrodeposition of Pt nano particles (reduction) onto vertically-aligned CNT arrays.



Carbon Microfiber

## Carbon Nanotube Array for Fuel Cell Applications

--- On conductive substrate (carbonmicrofiber, carbon paper) --- As electrode material to carry Pt catalyst particles



Aligned and separated carbon nanotubes (30-60 nm dia)





Carbon microfiber conductors (~5 µm dia.)

<u>Bio Materials</u>

### **Examples of Synthesis and Applications of Magnetic Nanoparticles**

Potential Bio Applications – Cancer treatment, gene delivery, neural regeneration, drug delivery, magnetic cell sorting, MRI.



<u>Temperature rise induced by remote magnetic field (100 KHz)</u> --- In a liquid containing various volume of magnetic nanoparticles. ---  $\sim$ 10 nm diameter Fe<sub>3</sub>O<sub>4</sub> particles.

--- Live cell magnetic hyperthermia experiments to be carried out.

PC-12 Neural Cancer Cells with Endocytosed Magnetic Nanoparticles -- Can be stimulated into Neurite growth by NGF growth factor





#### Nanotoxicity Study --- Response of PC12 newral cells to magnetic nanoparticles



Fe<sub>3</sub>O<sub>4</sub> conc.=15 mM (dramatic reduction of cell ability to generate neurites with increased concentration of of magnetic nanoparticles --- ~spherical cell shape with much decreased surface area)



Immunofluorescence of typical PC-12 cells 4 days after endocytosis. Cytoskeletal structure shown with tubulin (fluorescein, green) and actin (rhodamine, TRITC labelled phassloidin, red).

# Magnetically Guidable, Remote-Controlled Drug Delivery Nanocapsules





(c) TEM of nanocapsules (~100 nm) with trapped Fe<sub>3</sub>O<sub>4</sub> magn. nanoparticles (10 nm dia, 45 vol. %)





On-off switchable release from the magnetic nanocapsules. (a) Release of hydrophobic camptothecin (nanomole per mg nanocapsule, NC) by RF magnetic field on-off cycling (switch-on period=10 seconds, switch off=5 minutes), (b) A similar switchable release is demonstrated for hydrophilic doxorubicin from the nanocapsules by RF field.

# Tissue penetration by magnetic vector



Confocal microscopy images of the magnetic nanocapsule penetration into MT2 breast cancer cell colony using magnet gradient pulling force (vertical scale bar=50  $\mu$ m) applied for 2 hrs by a Nd-Fe-B magnet (H=~1,200 Oe near the cancer colony location). The Y-Z vertical section image, and X-Y horizontal section image near the bottom demonstrate a successful penetration of cancer colony by magnetic nanocapsules.

Confocal microscopy for BBB crossing [Polystyrene surface + fluorescent dye attached + tail vein injection on mouse + confocal microscopy to trace magnetic nanocapsules (green). – BBB crossing is observed.



Anthracene-Nanoparticle (green)



### Effect of Nanostructure of Bio Materials on Cell Growth



**Top view TEM micrograph of aligned TiO<sub>2</sub> nanotubes** 



Red and green merged image



Control of Stem Cell Differentiation Dictated Soly by Nanotube Dimension



SEM micrographs of self-aligned TiO<sub>2</sub> nanotubes with significantly different diameters. The self-assembly layers were generated by anodizing Ti sheets. The images show highly ordered, vertically aligned nanotubes with four different nanotube pore diameters between approximately 30-100nm, created by controlling potentials ranging from 5 to 20 V. (e) Right-Top image is the oblique view of the 100 nm diameter TiO<sub>2</sub> nanotube, and (f) right-bottom image is the cross-sectional transmission electron microscopy (TEM) of the 100 nm dia. TiO<sub>2</sub> nanotubes. (All scale bars: 200 nm)

Effect of Nanostructured Substrate on Growth of Mesenchymal Stem Cells



 $\label{eq:limit} \begin{array}{l} \mbox{Immunofluorescent images of reacted stem cells on 100nm diameter} \\ \mbox{TiO}_2 \mbox{ nanotubes after 3 weeks of culture.} \end{array}$ 

FDA images of hMSCs on flat Ti and various diameter TiO2 nanotubes (24 hr culture, Scale bar=100 μm).



Actin

DAPI

Osteopontin

- TiO2 nanotube dimension significantly influences the hMSC (human mesenchymal stem cell) differentiation behavior
- Smaller diameter nanotubes (~30 nm dia) enhance cell adhesion and proliferation without differentiation, while larger diameter nanotubes (~100 nm dia) cause the stem cells to substantially elongate, stressed and preferentially differentiate into bone cells (osteoblasts), which can be useful for orthopaedic and dental applications.
- Seunghan Oh, Karla Brammer, Julie Li, Dayu Teng, Adam Engler, Shu Chien, Sungho Jin, "Stem Cell Fate Dictated Solely by Altered Nanotube Dimension", *PNAS* 106(7), 2130-2135 (2009).

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