

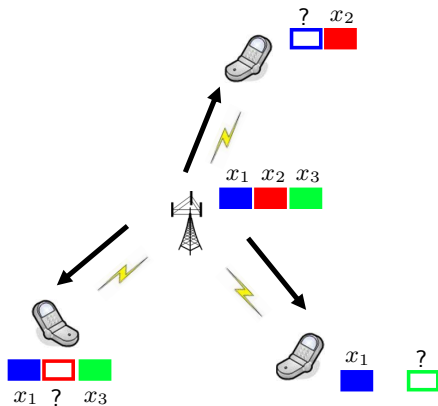
Index Coding and Fractional Graph Theory

Fatemeh Arbabjolfaei and Young-Han Kim

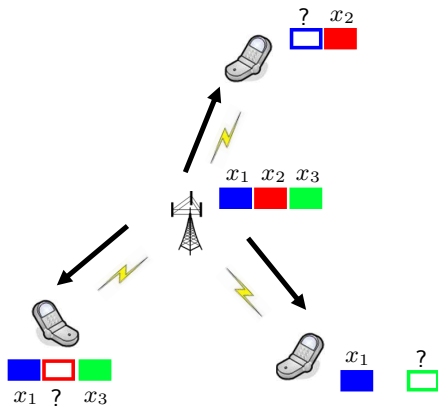
Department of Electrical and Computer Engineering
University of California, San Diego

Between Shannon and Hamming
Network Information Theory and Combinatorics
March 3, 2015

Index coding (Birk–Kol 1998)

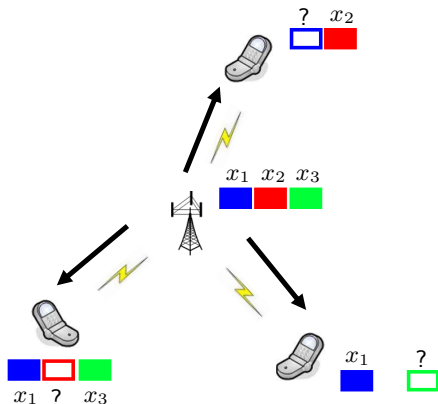


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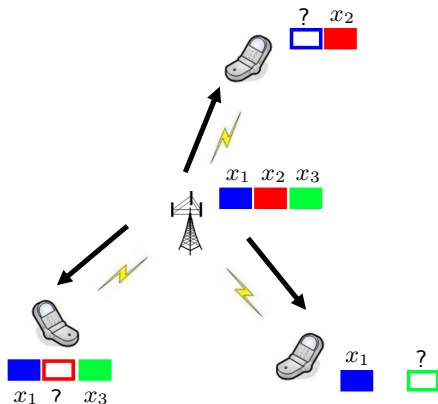
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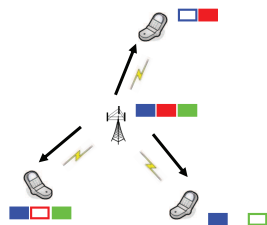


- Send $x_1 + x_2$ and x_3
- What is the fundamental limit on the number of transmissions?
- Which coding scheme achieves the limit?

Representations

- Side information

$$A_1 = \{2\}, A_2 = \{1, 3\}, A_3 = \{1\}$$



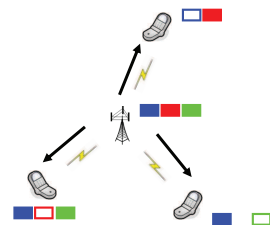
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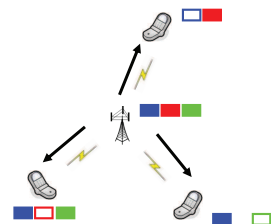
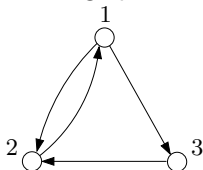
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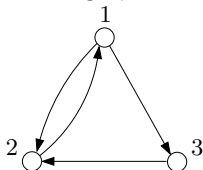
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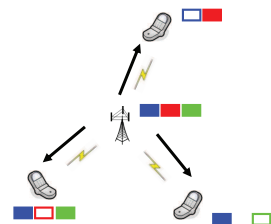
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- # of n -message index coding problems = # of n -node directed graphs

$$1, 3, 16, 218, 9608, 1540944, 882033440, 1793359192848, \dots$$



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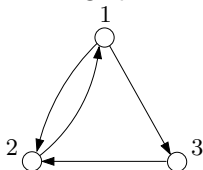
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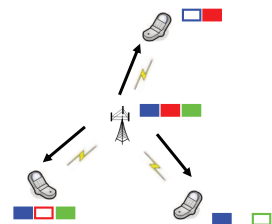
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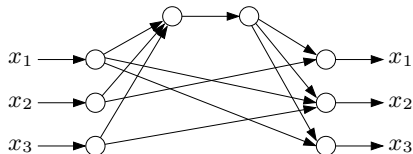


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- Multiple unicast network coding



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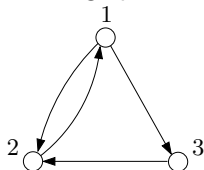
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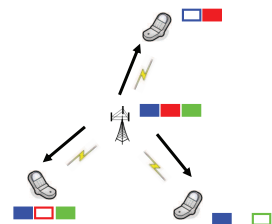
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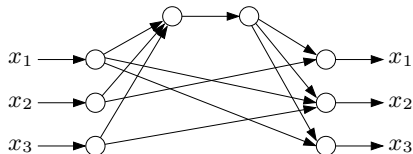
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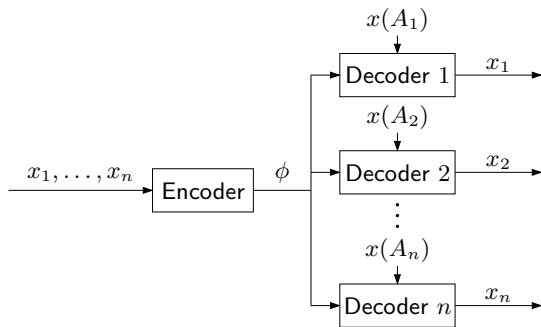
- "Equivalent" to network coding (Effros–El Rouayheb–Langberg 2013)



- Multiple unicast network coding



Index coding



- (t_1, \dots, t_n, r) **index code**
- **Messages** $x_j \in \{0, 1\}^{t_j} \quad j \in [1 : n]$,
- **Codeword** $\phi(x_1, \dots, x_n) \in \{0, 1\}^r$
- **Side information** $x(A_j) := (x_i : i \in A_j), A_j \subseteq [1 : n] \setminus \{j\}$

Achievability, Capacity region

- (R_1, \dots, R_n) is **achievable** iff $\exists (t_1, \dots, t_n, r)$ index code such that

$$R_j \leq \frac{t_j}{r}, \quad j \in [1 : n]$$

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- **Broadcast rate** $\beta = \min \{b : (1/b, \dots, 1/b) \in \mathcal{C}\}$
 - ▶ $x_j \in \{0, 1\}^t, \quad j \in [1 : n]$
 - ▶ $1 \leq \beta \leq n$

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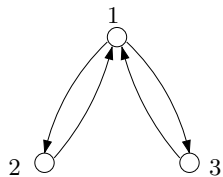
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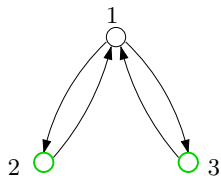
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 - ▶ $1 \leq \beta \leq n$
- No computable characterization of \mathcal{C} or β in general
- No approximation within a factor of $O(n^{1-\epsilon})$ for any $\epsilon > 0$

- Existing results
 - ▶ Lower bounds on β (outer bounds on \mathcal{C})
 - ▶ Coding schemes (upper bounds on β / inner bounds on \mathcal{C})
- Structural properties of the capacity region
 - ▶ Graph products
 - ▶ Confusion graph
 - ▶ Characterization of the capacity region
 - ▶ Structural properties

Cycle-free bound

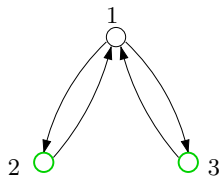


Cycle-free bound

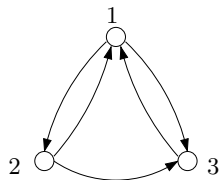


$$2 = \alpha(G) \leq \beta$$

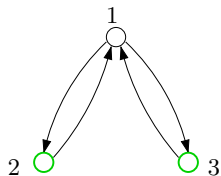
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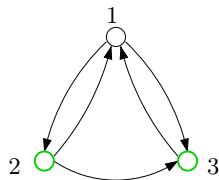
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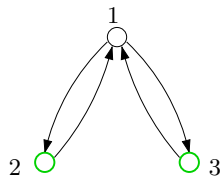


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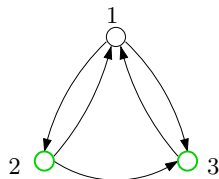


$$2 \leq \beta$$

Cycle-free bound



$$2 = \alpha(G) \leq \beta$$



$$2 \leq \beta$$

Cycle-free lower bound (Neely–Tehrani–Zhang 2013)

$$\max_{S \subseteq [1:n]: G|_S \text{ is cycle-free}} |S| \leq \beta$$

Polymatroidal outer bound

Polymatroidal bound (Dougherty et al. 2011, Blasiak et al. 2011)

If (R_1, \dots, R_n) is achievable for index coding problem $(j | A_j), j \in [1 : n]$, then

$$R_j \leq f(B_j \cup \{j\}) - f(B_j), \quad j \in [1 : n]$$

for some set function f such that

- $f(\emptyset) = 0$
- $f([1 : n]) = 1$
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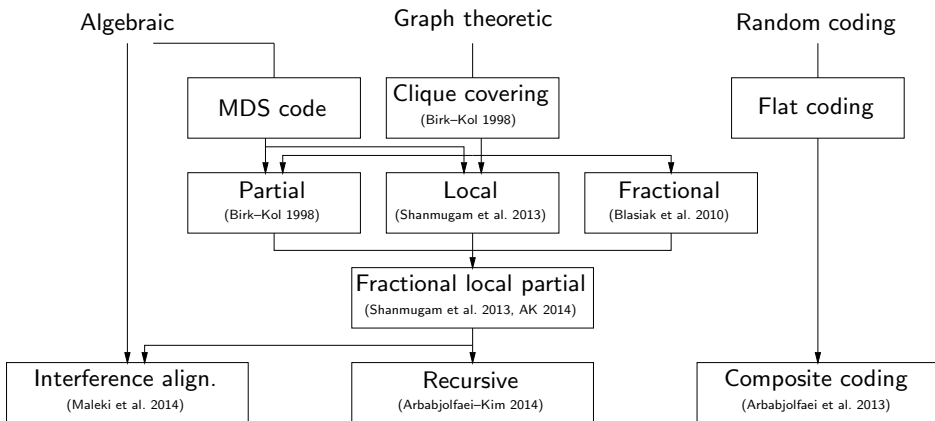
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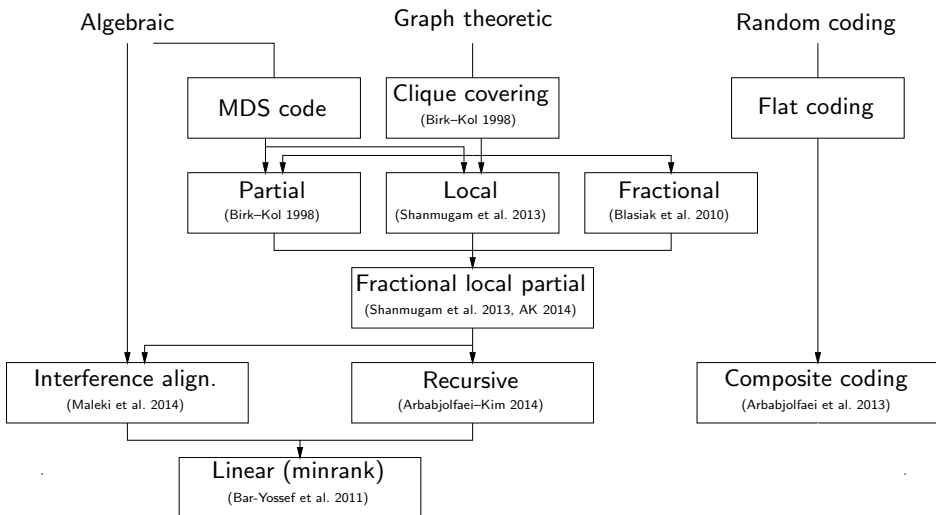
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- Not tight (Sun–Jafar 2013)

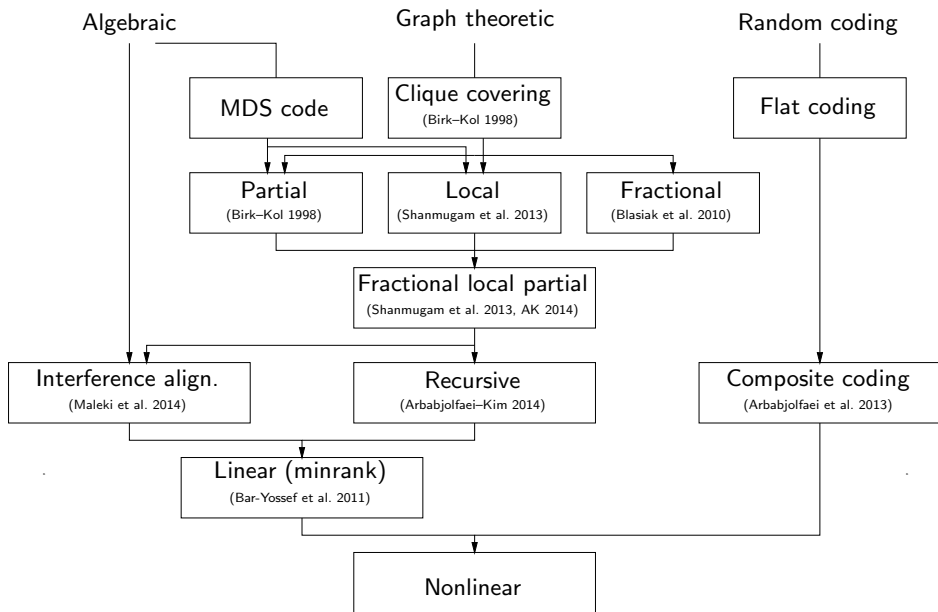
Overview of coding schemes



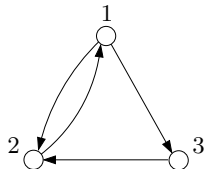
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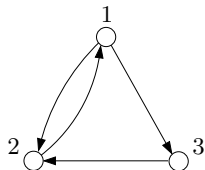
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- Every node has at least 1 piece of side information

MDS code

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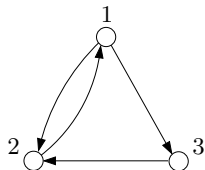


- Every node has at least 1 piece of side information
- Systematic $(5, 3)$ MDS code

$(x_1, x_2, x_3, p_1, p_2)$

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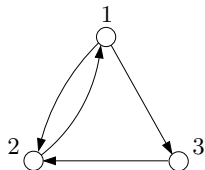
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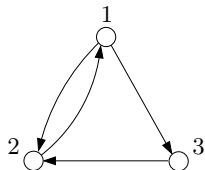


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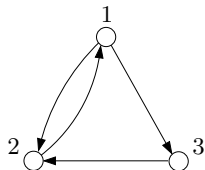
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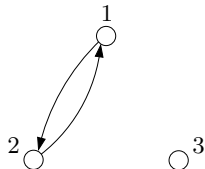
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- $\beta = 1$ if the graph is a clique

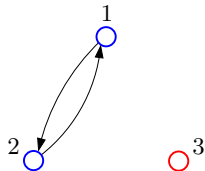
Clique covering (Birk–Kol 1998)

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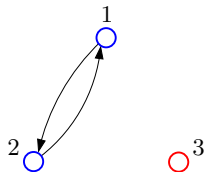
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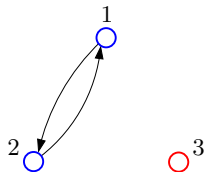
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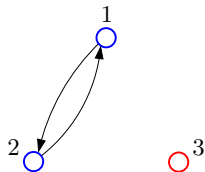


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$$\begin{aligned} & \text{minimize} && \sum_{S \in \mathcal{K}} \rho_S \\ & \text{subject to} && \sum_{S \in \mathcal{K}: j \in S} \rho_S \geq 1, \quad j \in [n] \\ & && \rho_S \in \{0, 1\}, \quad S \in \mathcal{K} \end{aligned}$$

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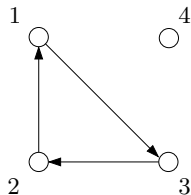
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- Not comparable with MDS code

Partial clique covering (Birk–Kol 1998)

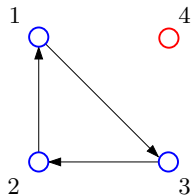
$(1|2), (2|3), (3|1), (4)$



- Sending (x_1, x_2, x_3, x_4) achieves $b = 4$

Partial clique covering (Birk–Kol 1998)

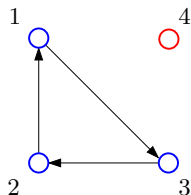
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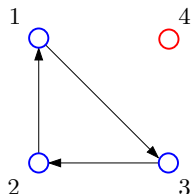


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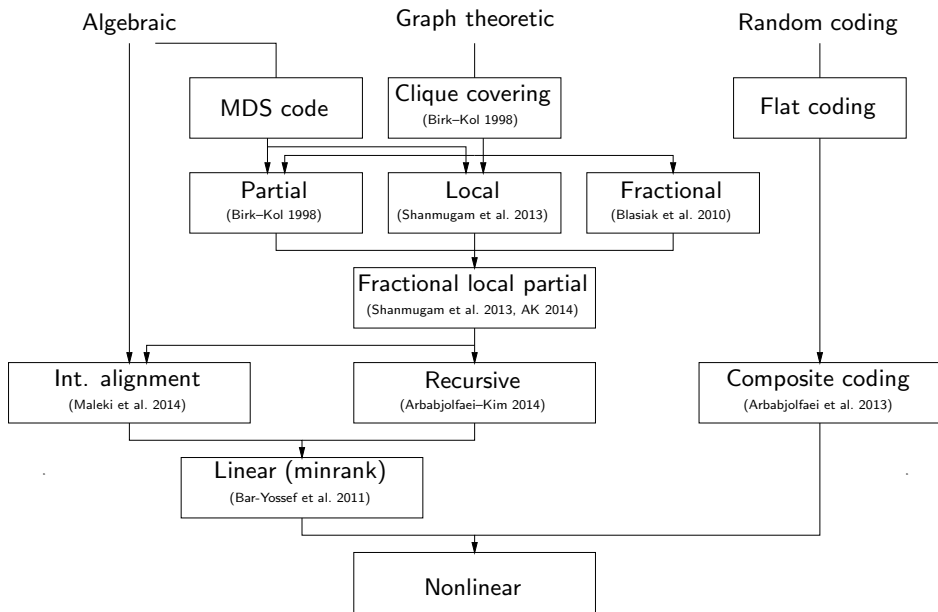


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- Linear programming relaxation: fractional partial clique covering

Overview of coding schemes



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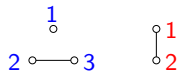
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 - ▶ **Criticality**: No edge can be removed

- Overview of previous work
 - ▶ Lower bounds
 - ▶ Coding schemes
- Structural properties of the capacity region
 - ▶ Graph products
 - ▶ Confusion graph
 - ▶ Characterization of the capacity region
 - ▶ Structural properties

Graph products

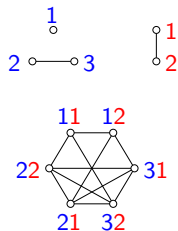
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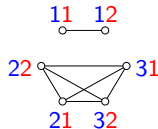
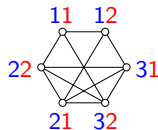
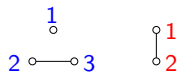
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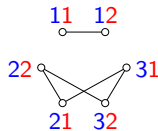
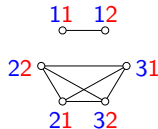
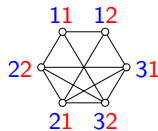
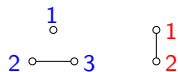
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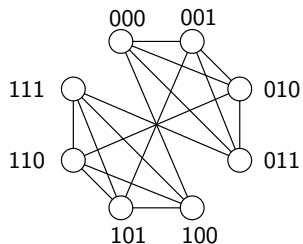
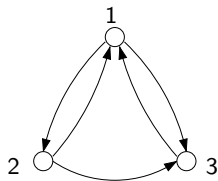
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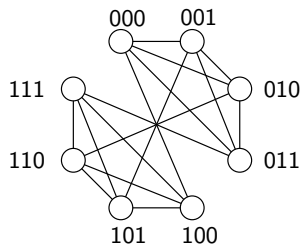
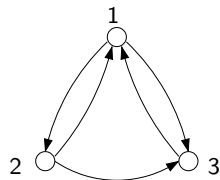
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- Properties
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Confusion graph example



$$(t_1, t_2, t_3) = (1, 1, 1)$$

Confusion graph example



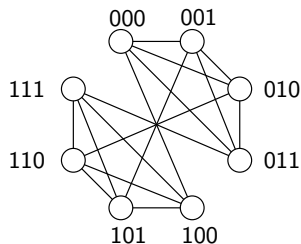
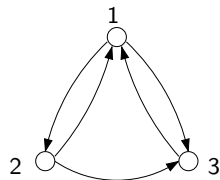
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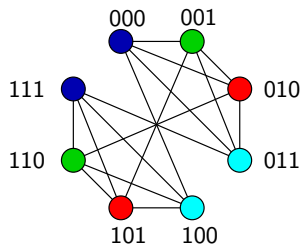
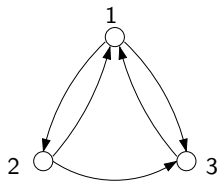
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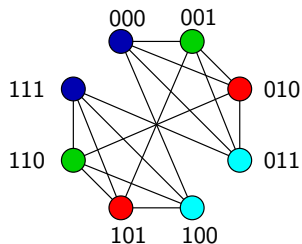
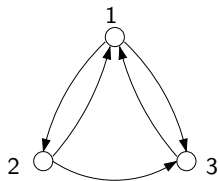
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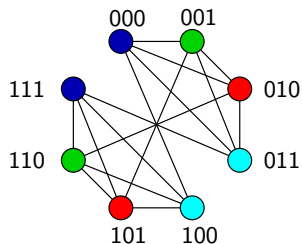
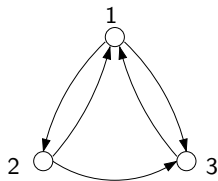
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$$(t_1, t_2, t_3) = (1, 1, 1)$$

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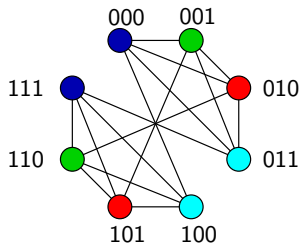
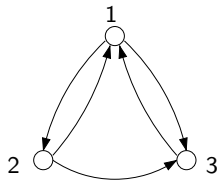
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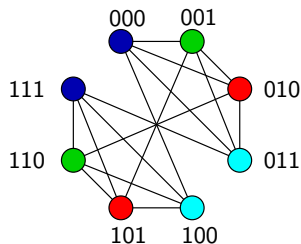
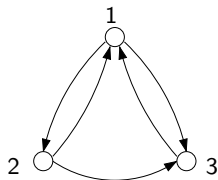
Confusion graph example



$$(t_1, t_2, t_3) = (1, 1, 1)$$

- $\chi(\Gamma_{(1,1,1)}(G)) = 4$
- Suffices to send two bits
- $(R_1, R_2, R_3) = (\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ is achievable

Confusion graph example



$$(t_1, t_2, t_3) = (1, 1, 1)$$

Proposition 1 (Alon et al. 2008)

(R_1, \dots, R_n) is achievable iff

$$R_j \leq \frac{t_j}{\lceil \log(\chi(\Gamma_{\mathbf{t}}(G))) \rceil}, \quad j \in [1 : n]$$

for some integer tuple $\mathbf{t} = (t_1, \dots, t_n)$

Proposition 2

The capacity region \mathcal{C} is the closure of all rate tuples (R_1, \dots, R_n) such that

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Index coding capacity region via the confusion graph

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- Lemma (McEliece–Posner 1971, Berge–Simonovits 1974):

$$\chi_f(\Gamma) = \lim_{k \rightarrow \infty} \sqrt[k]{\chi(\Gamma^k)} = \inf_k \sqrt[k]{\chi(\Gamma^k)}.$$

Structural properties of capacity region

- Assumptions:

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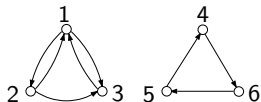
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No interaction



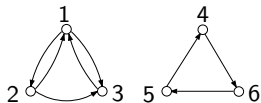
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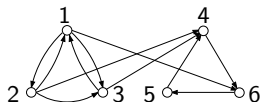
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One-way interaction



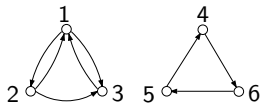
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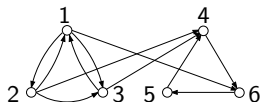
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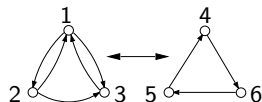
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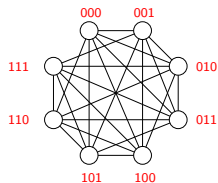
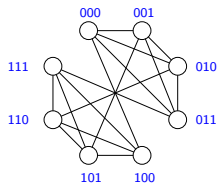
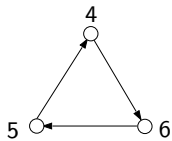
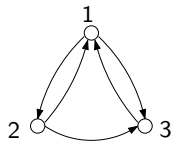
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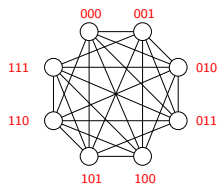
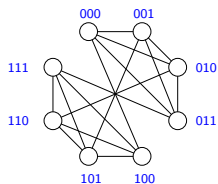
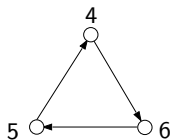
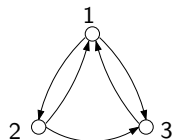
Complete interaction



Confusion graph in case of no interaction

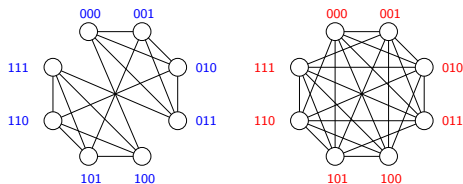
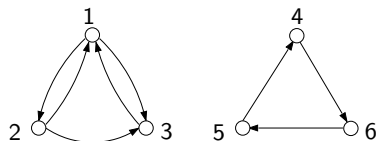


Confusion graph in case of no interaction



Message pair	Confusion?
000000 011100	Yes Yes Yes
000000 110100	No Yes Yes
000000 011111	Yes No Yes
000000 110000	No No No

Confusion graph in case of no interaction



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011100	Yes
000000	No Yes
110100	Yes
000000	Yes No
011111	Yes
000000	No No
110000	No

$$\Gamma_{\mathbf{t}}(G) = \Gamma_{\mathbf{t}_1}(G_1) * \Gamma_{\mathbf{t}_2}(G_2)$$

Proposition 3

If G has no edge between G_1 and G_2 , then

$$\mathcal{C} = \bigcup_{\alpha \in [0,1]} \{(\alpha \mathbf{R}_1, (1 - \alpha) \mathbf{R}_2) : \mathbf{R}_1 \in \mathcal{C}_1, \mathbf{R}_2 \in \mathcal{C}_2\}.$$

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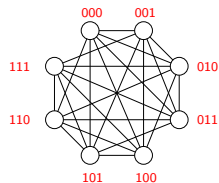
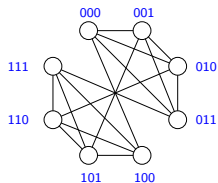
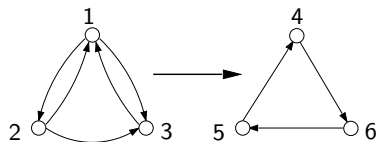
Proposition 3

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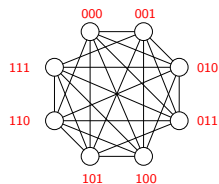
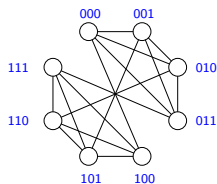
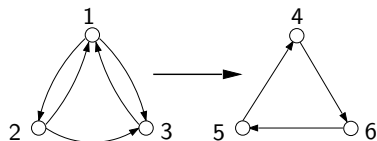
$$\mathcal{C} = \bigcup_{\alpha \in [0,1]} \{(\alpha \mathbf{R}_1, (1 - \alpha) \mathbf{R}_2) : \mathbf{R}_1 \in \mathcal{C}_1, \mathbf{R}_2 \in \mathcal{C}_2\}.$$

- Time division between G_1 and G_2 is optimal
- Lemma (Scheinerman–Ullman 2011): $\chi_f(\Gamma_1 * \Gamma_2) = \chi_f(\Gamma_1)\chi_f(\Gamma_2)$
- Why fractional version is useful?
- Lemma (Scheinerman–Ullman 2011): $\chi(\Gamma_1 * \Gamma_2) \leq \chi(\Gamma_1)\chi(\Gamma_2)$

Confusion graph in case of one-way interaction

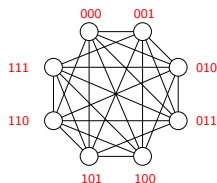
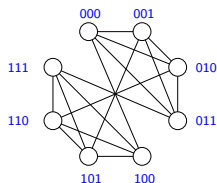
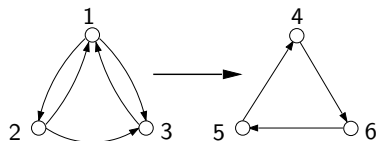


Confusion graph in case of one-way interaction



Message pair	Confusion?
000000 011111	Yes No Yes
000000 110100	No Yes No
000000 000100	Same Yes Yes
000000 110111	No No No

Confusion graph in case of one-way interaction



Lexicographic product: $\Gamma = \Gamma_1 \bullet \Gamma_2$

$(u_1, u_2) \sim (v_1, v_2)$ iff

$u_1 \sim v_1$ or $(u_1 = v_1 \text{ and } u_2 \sim v_2)$

Message pair	Confusion?
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000000	No Yes
110100	No
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$$\Gamma_{\mathbf{t}}(G) = \Gamma_{\mathbf{t}_1}(G_1) \bullet \Gamma_{\mathbf{t}_2}(G_2)$$

Theorem 2 (Tahmasbi–Shahrasbi–Gohari 2014)

If G has no edge from G_2 to G_1 , then

$$\mathcal{C} = \bigcup_{\alpha \in [0,1]} \{(\alpha \mathbf{R}_1, (1 - \alpha) \mathbf{R}_2) : \mathbf{R}_1 \in \mathcal{C}_1, \mathbf{R}_2 \in \mathcal{C}_2\}.$$

Capacity region in case of one-way interaction

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- Farkas lemma (Bachem–Kern 1992): Each edge in a directed graph either
 - ▶ lies on a directed cycle
 - ▶ belongs to a directed cutbut not both

Capacity region in case of one-way interaction

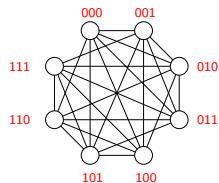
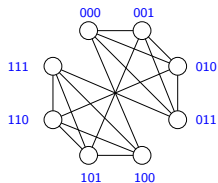
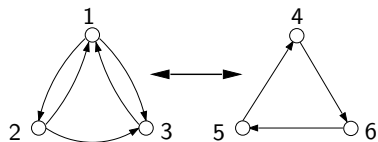
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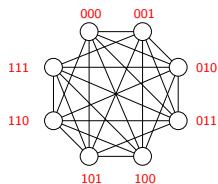
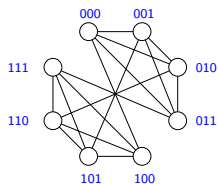
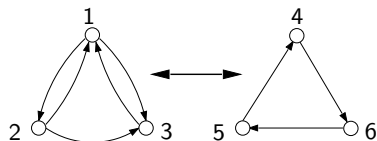
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- Farkas lemma (Bachem–Kern 1992): Each edge in a directed graph either
 - ▶ lies on a directed cycle
 - ▶ belongs to a directed cutbut not both
- Can remove edges not on directed cycles

Confusion graph in case of complete interaction

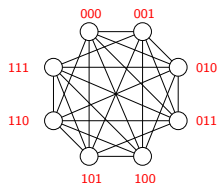
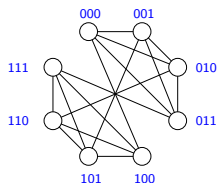
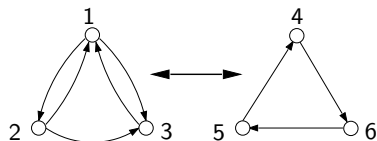


Confusion graph in case of complete interaction



Message pair	Confusion?
000000 011100	Yes Yes No
000000 110100	No Yes No
000000 000100	Same Yes Yes
000000 110111	No No No

Confusion graph in case of complete interaction



Cartesian product: $\Gamma = \Gamma_1 \wedge \Gamma_2$

$(u_1, u_2) \sim (v_1, v_2)$ iff

$(u_1 = v_1 \text{ and } u_2 \sim v_2)$

or

$(u_2 = v_2 \text{ and } u_1 \sim v_1)$

Message pair	Confusion?
000000	Yes Yes
011100	No
000000	No Yes
110100	No
000000	Same Yes
000100	Yes
000000	No No
110111	No

$$\Gamma_{\mathbf{t}}(G) = \Gamma_{\mathbf{t}_1}(G_1) \wedge \Gamma_{\mathbf{t}_2}(G_2)$$

Capacity region in case of complete interaction

Theorem 3 (Arbabjolfaei–Kim 2015)

If there are edges from every node in G_1 to every node in G_2 and vice versa, then

$$\mathcal{C} = \{(\mathbf{R}_1, \mathbf{R}_2) : \mathbf{R}_1 \in \mathcal{C}_1, \mathbf{R}_2 \in \mathcal{C}_2\}.$$

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- Lemma (Sabidussi 1957): $\chi(\Gamma_1 \wedge \Gamma_2) = \max\{\chi(\Gamma_1), \chi(\Gamma_2)\}$

Capacity region in case of complete interaction

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If there are edges from every node in G_1 to every node in G_2 and vice versa, then

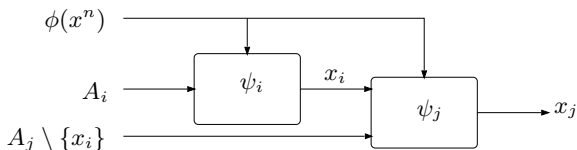
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- Lemma (Sabidussi 1957): $\chi(\Gamma_1 \wedge \Gamma_2) = \max\{\chi(\Gamma_1), \chi(\Gamma_2)\}$
- Complete interaction in $G \leftrightarrow$ disconnected complement \bar{G}

Conclusion

n	$\#G$	"Nontrivial"
3	16	1
4	218	20
5	9608	1009
6	1,540,944	174,161

- "Nontrivial" = irreducible \wedge critical
 - ▶ Strongly connected (two-way interaction)
 - ▶ Connected complement (but not complete interaction)
 - ▶ Nondegraded side information sets



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