

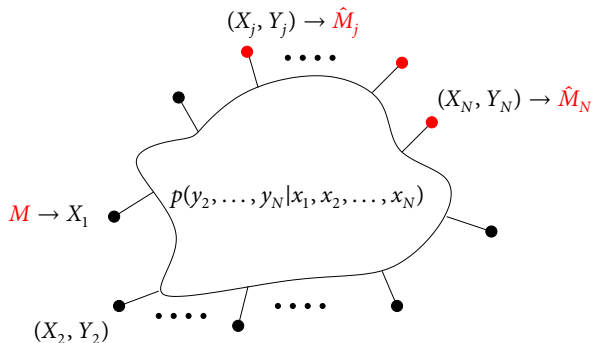
Noisy Network Coding

Sung Hoon Lim¹, Young-Han Kim², Abbas El Gamal³, Sae-Young Chung¹

¹KAIST, ²UCSD, and ³Stanford

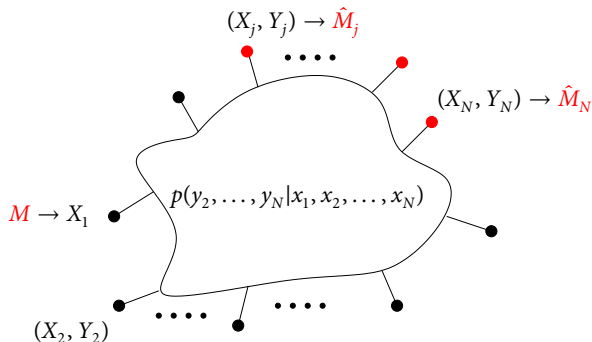
IEEE Information Theory Workshop Cairo 2010

General Noisy Multicast Network



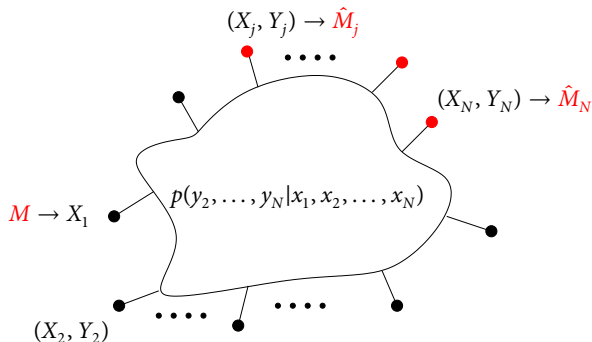
- An N -node discrete memoryless multicast network (**DM-MN**)
 $(\mathcal{X}_1 \times \dots \times \mathcal{X}_N, p(y_2, \dots, y_N | x_1, \dots, x_N), \mathcal{Y}_2 \times \dots \times \mathcal{Y}_N)$
- Source node 1 wishes to send a message M to destination nodes $\mathcal{D} \subseteq [2 : N]$

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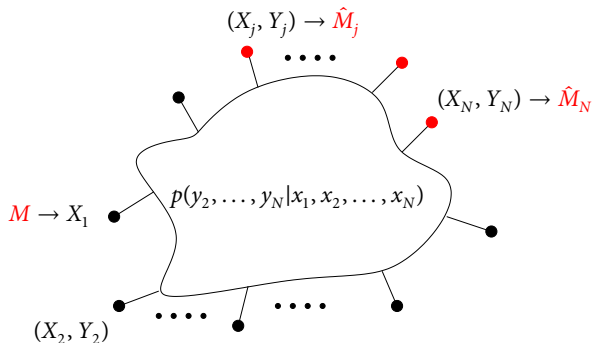
- A $(2^{nR}, n)$ code for the DM-MN:
 - Encoder: $x_1^n(m)$ for each message $m \in [1 : 2^{nR}]$
 - Relay encoder $j \in [2 : N]$: $x_{ji}(y_j^{i-1})$ for each $y_j^{i-1} \in \mathcal{Y}_j^{i-1}$ and $i \in [1 : n]$
 - Decoder $k \in \mathcal{D}$: $\hat{m}_k(y_k^n)$ for each $y_k^n \in \mathcal{Y}_k^n$

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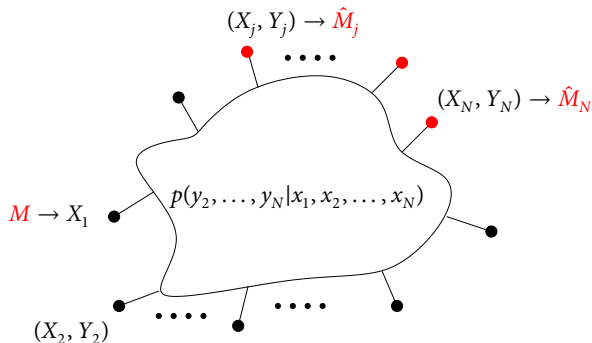
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 - Decoder $k \in \mathcal{D}$: $\hat{m}_k(y_k^n)$ for each $y_k^n \in \mathcal{Y}_k^n$
- The average probability of error $P_e^{(n)} = \mathbb{P}\{\hat{M}_k \neq M \text{ for some } k \in \mathcal{D}\}$

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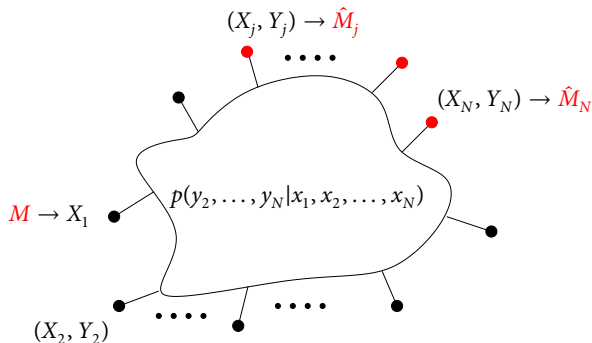
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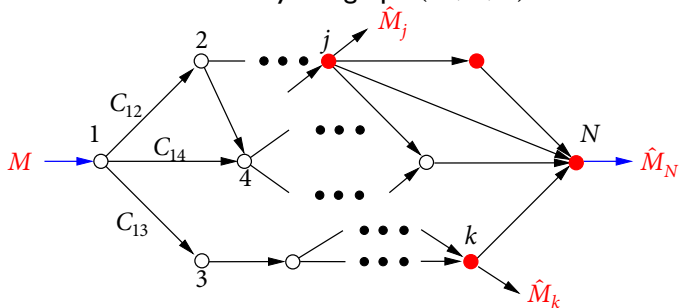
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- The rate R is **achievable** if \exists a sequence of $(2^{nR}, n)$ codes with $P_e^{(n)} \rightarrow 0$
- The **capacity** C of the DM-MN is the supremum of all achievable rates
- A computable (single-letter) characterization of the capacity is **not** known in general

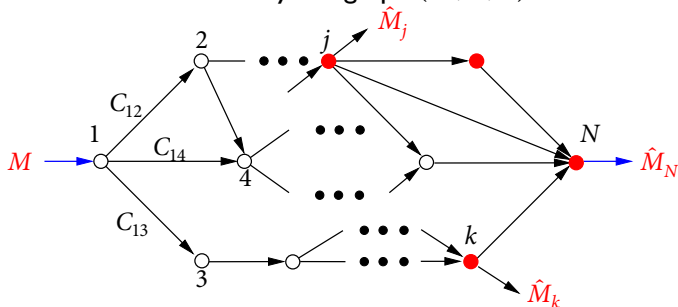
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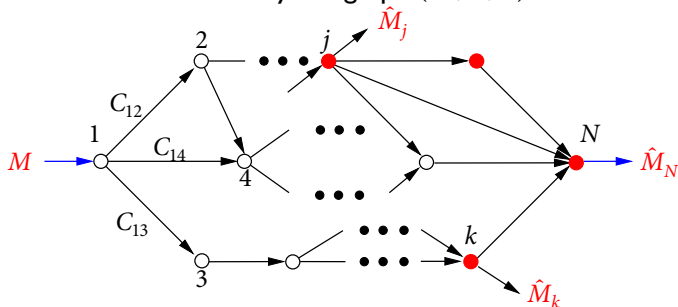


Network coding theorem (Ahlswede–Cai–Li–Yeung 2000)

$$C = \min_{k \in \mathcal{D}} \min_{S: 1 \in S, k \in S^c} C(S)$$

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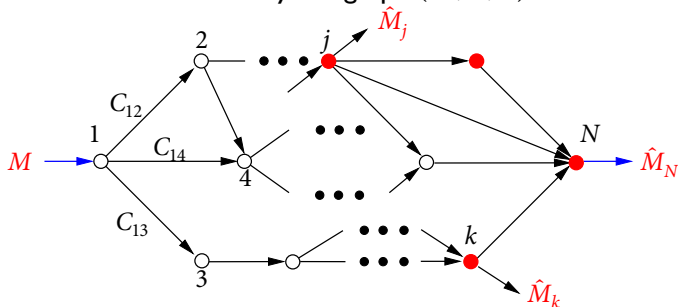
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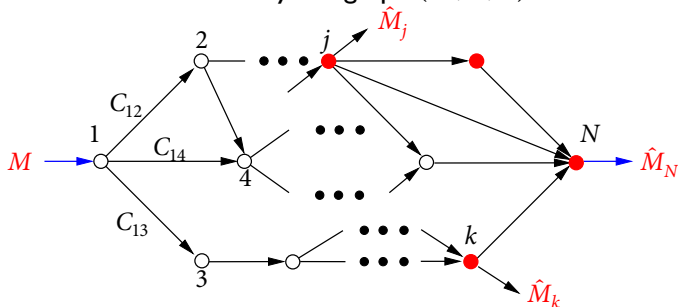
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- In general, **network coding** is needed (eg. butterfly network)

Extensions

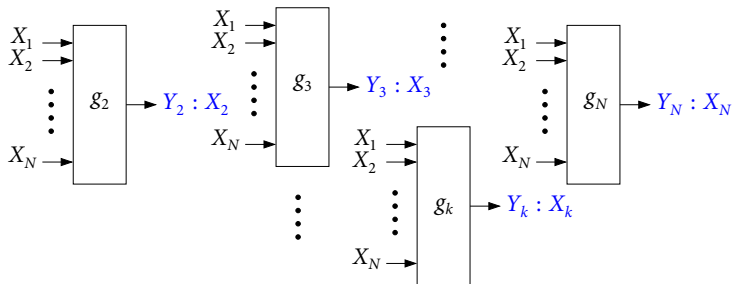
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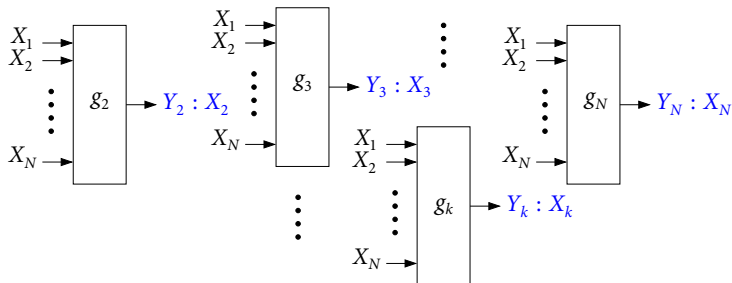
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Generalizes noiseless multicast network with **broadcast** and **interference**

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$$C \leq \max_{p(x^N)} \min_{k \in \mathcal{D}} \min_{\mathcal{S}: 1 \in \mathcal{S}, k \in \mathcal{S}^c} H(Y(\mathcal{S}^c) | X(\mathcal{S}^c))$$

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 - No interference** (Ratnakar–Kramer 2006):

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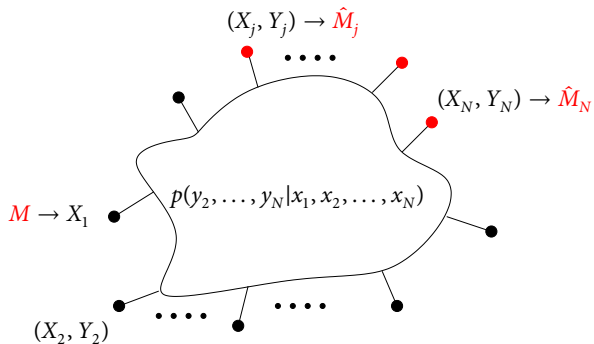
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- **Finite-field network** (Avestimehr–Diggavi–Tse 2007):

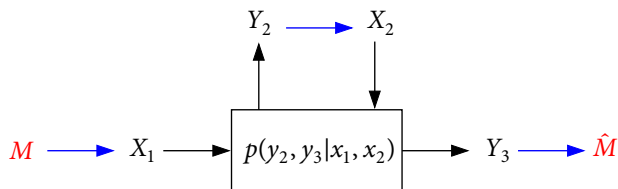
$$Y_k = \sum_{j=1}^N g_{jk} X_j \text{ for } g_{jk}, X_j \in \mathbb{F}_q, j, k \in [1 : N]$$

Used to approximate capacity of Gaussian networks in high SNR

General Noisy Multicast Network

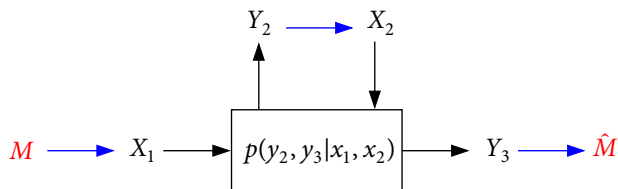


Relay Channel



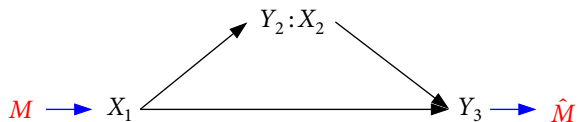
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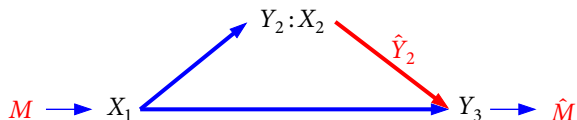


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Compress-Forward Lower Bound

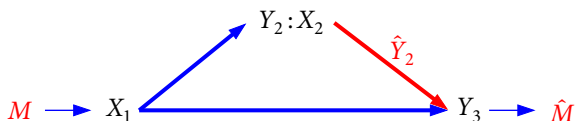


Compress-Forward Lower Bound



- The relay compresses its received signal and forwards it to receiver

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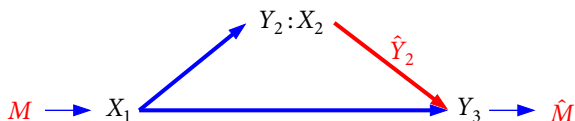
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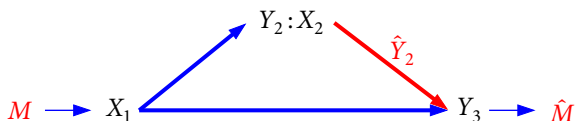
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- Extension to multiple relays (Kramer-Gastpar-Gupta 2005)

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- This particular characterization and corresponding coding scheme (El Gamal–Kim 2009) generalize naturally to networks

Noisy Network Coding Lower Bound

Theorem

$$C \geq \max_{\prod_{j=1}^N p(j_k)p(\hat{y}_j|y_j,x_j)} \min_{k \in \mathcal{D}} \min_{\substack{\mathcal{S} \subseteq [1:N] \\ 1 \in \mathcal{S}, k \in \mathcal{S}^c}} (I(X(\mathcal{S}); \hat{Y}(\mathcal{S}^c), Y_k | X(\mathcal{S}^c)) \\ - I(Y(\mathcal{S}); \hat{Y}(\mathcal{S}) | X^N, \hat{Y}(\mathcal{S}^c), Y_k))$$

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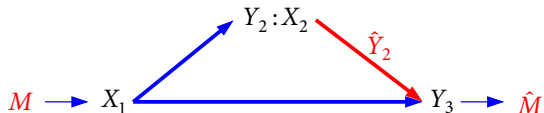
- Network coding is a special case of network compress-forward !!
- Includes as special cases:
 - Network coding for noiseless multicast networks
 - Lower bound on deterministic networks
 - Results on wireless erasure networks
 - Lower bound on semideterministic networks (Lim–Kim–Chung 2009)

Outline of Proof: Network Compress–Forward

- Use message repetition coding and simultaneous decoding

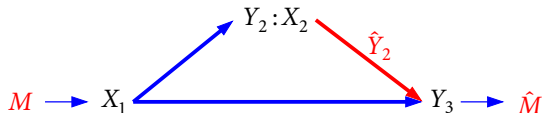
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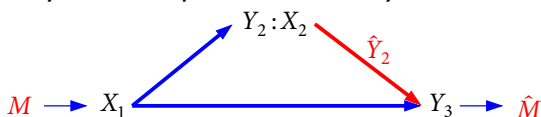
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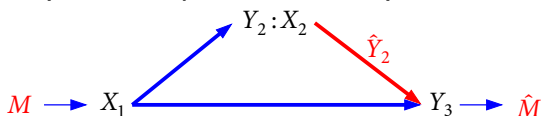
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- The relay uses independently generated **compression codebooks**:

$$\mathcal{B}_j = \{\hat{y}_2^n(l_j | l_{j-1}) : l_j, l_{j-1} \in [1 : 2^{nR_2}]\}, j \in [1 : b]$$

l_{j-1} is **compression index** of $\hat{Y}_2^n(j-1)$ sent by relay in block j

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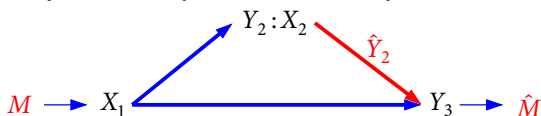
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- The senders use independently generated **transmission codebooks**:

$$\mathcal{C}_j = \{(x_1^n(j, m), x_2^n(l_{j-1})) : m \in [1 : 2^{nR}], l_{j-1} \in [1 : 2^{nR_2}]\}$$

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- We send **the same message** $M \in [1 : 2^{nbR}]$ over b blocks
- The relay uses independently generated **compression codebooks**:

$$\mathcal{B}_j = \{\hat{y}_2^n(l_j | l_{j-1}) : l_j, l_{j-1} \in [1 : 2^{nR_2}]\}, j \in [1 : b]$$

l_{j-1} is **compression index** of $\hat{Y}_2^n(j-1)$ sent by relay in block j

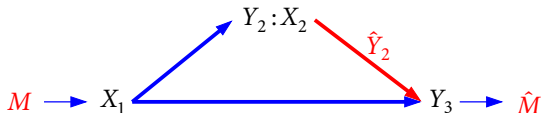
- The senders use independently generated **transmission codebooks**:

$$\mathcal{C}_j = \{(x_1^n(j, m), x_2^n(l_{j-1})) : m \in [1 : 2^{nR}], l_{j-1} \in [1 : 2^{nR_2}]\}$$

- **Encoding**: Sender transmits $x_1^n(j, m)$ in block $j \in [1 : b]$

Outline of Proof: Network Compress-Forward

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Upon receiving $y_2^n(j)$ and knowing $x_2^n(l_{j-1})$, the relay finds **jointly typical** $\hat{y}_2^n(l_j | l_{j-1})$, and sends $x_2^n(l_j)$ in block $j+1$

Outline of Proof: Network Compress-Forward

Block	1
X_1	$x_1^n(1, m)$
Y_2	$\hat{y}_2^n(l_1 1)$
X_2	$x_2^n(1)$
Y_3	\emptyset

Outline of Proof: Network Compress-Forward

Block	1	2
X_1	$x_1^n(1, m)$	$x_1^n(2, m)$
Y_2	$\hat{y}_2^n(l_1 1)$	$\hat{y}_2^n(l_2 l_1)$
X_2	$x_2^n(1)$	$x_2^n(l_1)$
Y_3	\emptyset	\emptyset

Outline of Proof: Network Compress-Forward

Block	1	2	3	...
X_1	$x_1^n(1, m)$	$x_1^n(2, m)$	$x_1^n(3, m)$...
Y_2	$\hat{y}_2^n(l_1 1)$	$\hat{y}_2^n(l_2 l_1)$	$\hat{y}_2^n(l_3 l_2)$...
X_2	$x_2^n(1)$	$x_2^n(l_1)$	$x_2^n(l_2)$...
Y_3	\emptyset	\emptyset	\emptyset	...

Outline of Proof: Network Compress-Forward

Block	1	2	3	...	$b-1$
X_1	$x_1^n(1, m)$	$x_1^n(2, m)$	$x_1^n(3, m)$...	$x_1^n(b-1, m)$
Y_2	$\hat{y}_2^n(l_1 1)$	$\hat{y}_2^n(l_2 l_1)$	$\hat{y}_2^n(l_3 l_2)$...	$\hat{y}_2^n(l_{b-1} l_{b-2})$
X_2	$x_2^n(1)$	$x_2^n(l_1)$	$x_2^n(l_2)$...	$x_2^n(l_{b-2})$
Y_3	\emptyset	\emptyset	\emptyset	...	\emptyset

Outline of Proof: Network Compress–Forward

Block	1	2	3	...	$b-1$	b
X_1	$x_1^n(1, m)$	$x_1^n(2, m)$	$x_1^n(3, m)$...	$x_1^n(b-1, m)$	$x_1^n(b, m)$
Y_2	$\hat{y}_2^n(l_1 1)$	$\hat{y}_2^n(l_2 l_1)$	$\hat{y}_2^n(l_3 l_2)$...	$\hat{y}_2^n(l_{b-1} l_{b-2})$	$\hat{y}_2^n(l_b l_{b-1})$
X_2	$x_2^n(1)$	$x_2^n(l_1)$	$x_2^n(l_2)$...	$x_2^n(l_{b-2})$	$x_2^n(l_{b-1})$
Y_3	\emptyset	\emptyset	\emptyset	...	\emptyset	\hat{m}

- Decoding:** After receiving $y_3^n(j)$, $j \in [1 : 2^{nR}]$, the receiver finds unique \hat{m} such that:

$(x_1^n(j, \hat{m}), \hat{y}_2^n(l_j|l_{j-1}), x_2^n(l_{j-1}), y_3^n(j))$ are jointly typical

for all $j \in [1 : b]$ and for some l_1, l_2, \dots, l_b

Analysis of the Probability of Error

- Assume $M = 1, L_1 = L_2 = \dots = L_b = 1$

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- $$\mathbf{P}(\mathcal{E}) \leq \mathbf{P}(\cup_{j=1}^b \mathcal{E}_j^c(1, 1, 1)) + \mathbf{P}(\cup_{m \neq 1} \cup_{l^b} \cap_{j=1}^b \mathcal{E}_j(m, l_{j-1}, l_j))$$

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- If $m \neq 1$ and $l_{j-1} = 1$,

$$\mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \leq 2^{-n(I(X_1; \hat{Y}_2, Y_3 | X_2) - \delta(\epsilon))} =: 2^{-n(I_1 - \delta(\epsilon))}$$

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- Similarly, if $m \neq 1$ and $l_{j-1} \neq 1$,

$$\mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \leq 2^{-n(I(X_1, X_2; Y_3) + I(\hat{Y}_2; X_1, Y_3 | X_2) - \delta(\epsilon))} =: 2^{-n(I_2 - \delta(\epsilon))}$$

Analysis of the Probability of Error

- Assume $M = 1, L_1 = L_2 = \dots = L_b = 1$
- Let $\mathcal{E}_j(m, l_{j-1}, l_j) := \{(X_1^n(j, m), \hat{Y}_2^n(l_j|l_{j-1}), X_2^n(l_{j-1}), Y_3^n(j)) \in \mathcal{T}_\epsilon^{(n)}\}$

$$\begin{aligned} \mathbf{P}(\mathcal{E}) &\leq \mathbf{P}(\cup_{j=1}^b \mathcal{E}_j^c(1, 1, 1)) + \mathbf{P}(\cup_{m \neq 1} \cup_{l^b} \cap_{j=1}^b \mathcal{E}_j(m, l_{j-1}, l_j)) \\ &\leq \sum_{j=1}^b \mathbf{P}(\mathcal{E}_j^c(1, 1, 1)) + \sum_{m \neq 1} \sum_{l^b} \prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \end{aligned}$$

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- Similarly, if $m \neq 1$ and $l_{j-1} \neq 1$,

$$\mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \leq 2^{-n(I(X_1, X_2; Y_3) + I(\hat{Y}_2; X_1, Y_3 | X_2) - \delta(\epsilon))} =: 2^{-n(I_2 - \delta(\epsilon))}$$

- If l^{b-1} has k 1s,

$$\prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \leq 2^{-n(kI_1 + (b-1-k)I_2 - (b-1)\delta(\epsilon))}$$

Analysis of the Probability of Error

$$\sum_{m \neq 1} \sum_{l_b} \sum_{l^{b-1}} \prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j))$$

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$$\begin{aligned} & \sum_{m \neq 1} \sum_{l_b} \sum_{l^{b-1}} \prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \\ & \leq \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{n(b-1-k)R_2} \cdot 2^{-n(kI_1 + (b-1-k)I_2 - (b-1)\delta(\epsilon))} \end{aligned}$$

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$$\begin{aligned} & \sum_{m \neq 1} \sum_{l_b} \sum_{l^{b-1}} \prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \\ & \leq \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{n(b-1-k)R_2} \cdot 2^{-n(kI_1 + (b-1-k)I_2 - (b-1)\delta(\epsilon))} \\ & = \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{-n(kI_1 + (b-1-k)(I_2 - R_2) - (b-1)\delta(\epsilon))} \\ & \leq \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{-n((b-1)(\min\{I_1, I_2 - R_2\} - \delta(\epsilon)))} \\ & \leq 2^{b+n(bR + R_2 - (b-1)(\min\{I_1, I_2 - R_2\} - \delta(\epsilon)))}, \end{aligned}$$

which $\rightarrow 0$ as $n \rightarrow \infty$ if $R < \frac{b-1}{b}(\min\{I_1, I_2 - R_2\} - \delta'(\epsilon)) - \frac{R_2}{b}$

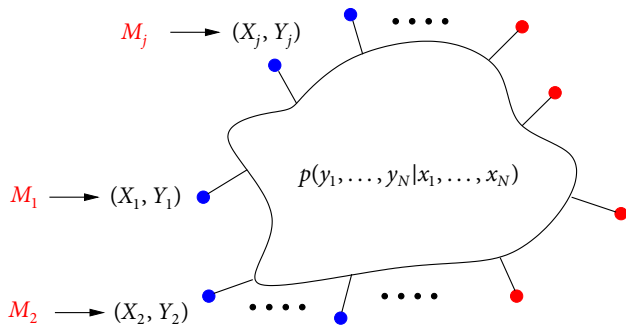
Analysis of the Probability of Error

$$\begin{aligned}
 & \sum_{m \neq 1} \sum_{l_b} \sum_{l^{b-1}} \prod_{j=2}^b \mathbf{P}(\mathcal{E}_j(m, l_{j-1}, l_j)) \\
 & \leq \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{n(b-1-k)R_2} \cdot 2^{-n(kI_1 + (b-1-k)I_2 - (b-1)\delta(\epsilon))} \\
 & = \sum_{m \neq 1} \sum_{l_b} \sum_{k=0}^{b-1} \binom{b-1}{k} 2^{-n(kI_1 + (b-1-k)(I_2 - R_2) - (b-1)\delta(\epsilon))} \\
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 & \leq 2^{b+n(bR + R_2 - (b-1)(\min\{I_1, I_2 - R_2\} - \delta(\epsilon)))},
 \end{aligned}$$

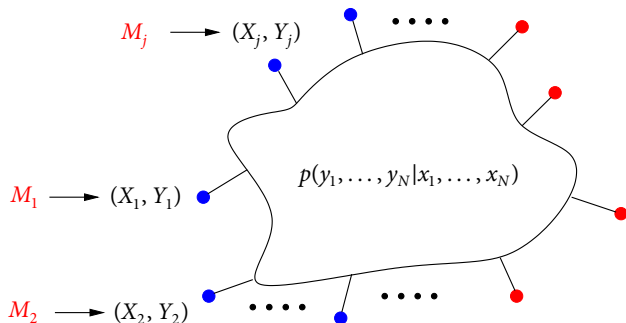
which $\rightarrow 0$ as $n \rightarrow \infty$ if $R < \frac{b-1}{b}(\min\{I_1, I_2 - R_2\} - \delta'(\epsilon)) - \frac{R_2}{b}$

- Finally, by eliminating $R_2 > I(\hat{Y}_2; Y_2|X_2) + \delta(\epsilon')$ and letting $b \rightarrow \infty$,
 $R < \min\{I(X_1; \hat{Y}_2, Y_3|X_2), I(X_1, X_2; Y_3) - I(\hat{Y}_2; Y_2|X_1, X_2, Y_3)\} - \delta'(\epsilon) - \delta(\epsilon')$

Noisy Multi-source Multicast Network



Noisy Multi-source Multicast Network



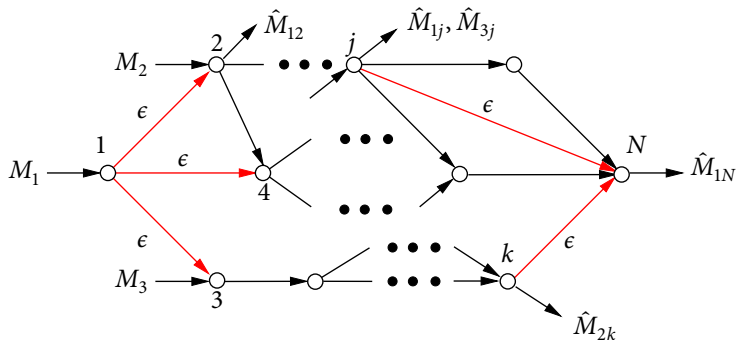
Theorem (Noisy network coding inner bound)

(R_1, \dots, R_N) achievable if

$$\sum_{j \in \mathcal{S}} R_j < \min_{k \in \mathcal{S}^c \cap \mathcal{D}} I(X(\mathcal{S}); \hat{Y}(\mathcal{S}^c), Y_k | X(\mathcal{S}^c)) - I(Y(\mathcal{S}); \hat{Y}(\mathcal{S}) | X^N, \hat{Y}(\mathcal{S}^c), Y_k)$$

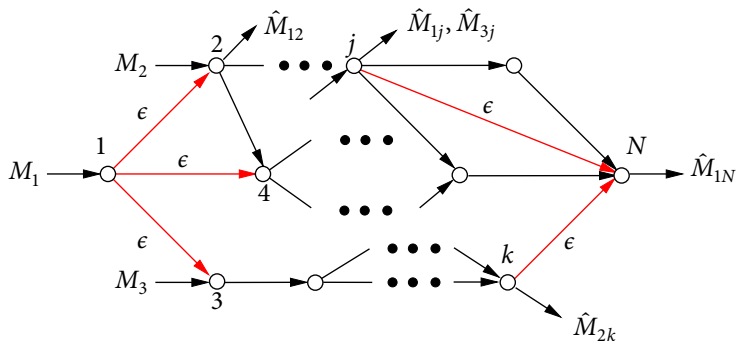
for all cutsets \mathcal{S} for some $\prod_{j=1}^N p(x_j) p(\hat{y}_j | y_j, x_j)$

Example: Erasure Network



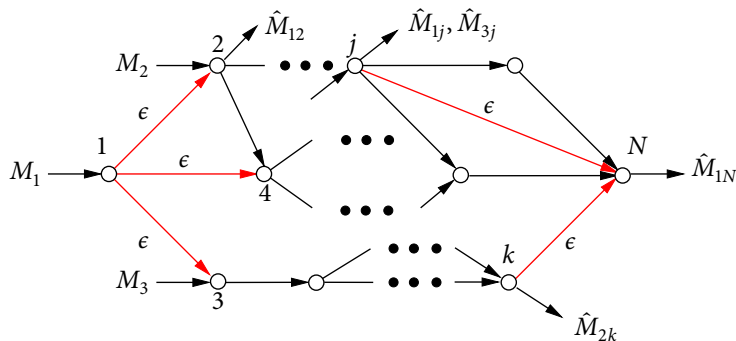
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- Assume destination nodes have access to network erasure pattern
- We recover multi-source multicast capacity region (Dana et al. 2006):

$$\sum_{j \in \mathcal{S}} R_j \leq \sum_{j \in \mathcal{S}} C_{jk} (1 - \mathbf{P}\{\text{link } (j, k) \text{ is erased for all } k \in \mathcal{S}^c\})$$

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- With $\hat{Y}_j = Y_j + \hat{Z}_j$, $\hat{Z}_j \sim \mathcal{N}(0, 1)$, noisy network coding bound becomes

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- This generalizes single-source result by Avestimehr–Diggavi–Tse (2007)

Summary

Forwarding

Noiseless Unicast
Networks

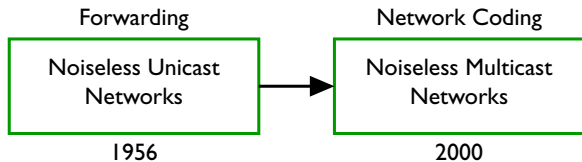
1956

————— Solved

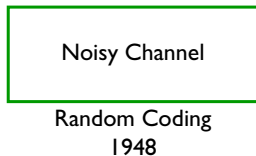
Noisy Channel

Random Coding
1948

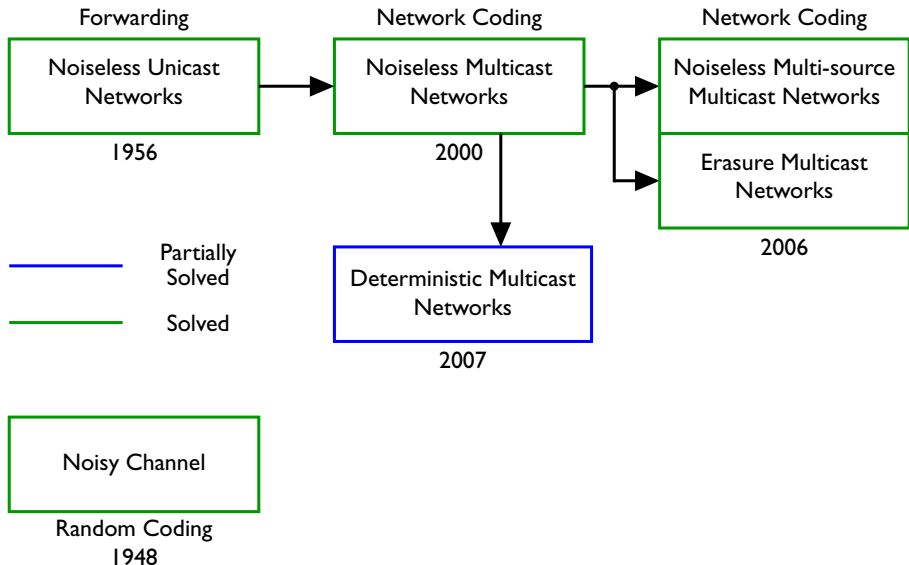
Summary



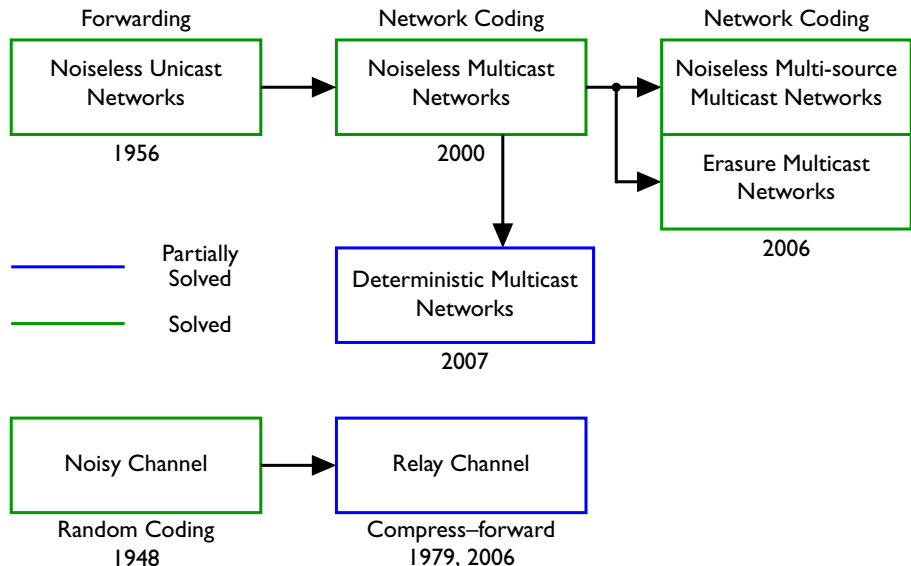
———— Solved



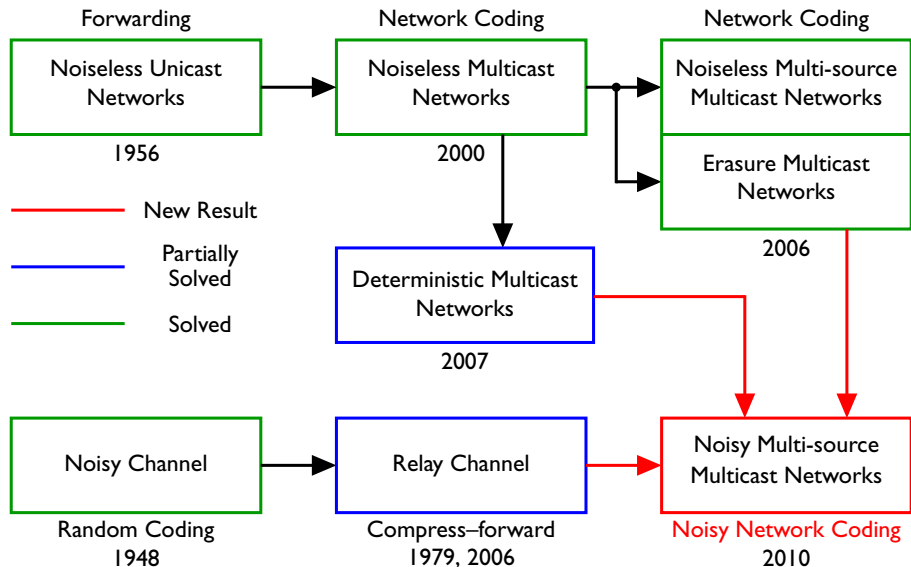
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- Can be further combined with decode-forward schemes
(Cover–El Gamal 1979 Thm. 7, Kramer–Gastpar–Gupta 2005 Thm. 5)